

# DISTINGUISH NEWFORMS VIA THEIR PRIME DIVISORS

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ABSTRACT. Given two non-CM newforms with integral Fourier coefficients, in this paper we study the number of distinct prime divisors of their Fourier coefficients via probability method. Based on a recent result of El-Baz, Loughran and Sofos, using the Galois representations attached to newforms and the effective Chebotarev's density theorem, and assuming the generalized Riemann hypothesis, we show that the distribution of the number of distinct primes dividing the Fourier coefficients behaves like the standard multivariate normal distribution if these newforms are not twists of each other. As a consequence, we prove a multiplicity one result for modular forms under the generalized Riemann hypothesis.

## 1. INTRODUCTION

The Erdős-Kac theorem provides a splendid connection between probability theory and number theory. It states that, if denote by  $\omega(n)$  the number of distinct prime divisors of  $n$ , then the random variables

$$\frac{\omega(n) - \log \log n}{\sqrt{\log \log n}}$$

defined on the set of natural numbers less than  $x$ , as  $x$  goes to infinity converge in distribution to the standard normal distribution. More precisely, for any  $\alpha \in \mathbb{R}$ ,

$$\lim_{x \rightarrow +\infty} \frac{1}{x} \# \left\{ n \leq x : \frac{\omega(n) - \log \log n}{\sqrt{\log \log n}} < \alpha \right\} = G(\alpha) := \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\alpha} e^{-x^2/2} dx.$$

Erdős and Kac's proof is based on the central limit theorem and sieve methods [6]. They provide a method to study the properties of arithmetic functions by studying their statistical properties. Since then, various generalizations of the Erdős-Kac theorem have been studied by many mathematicians (for example see [5, 7]).

R. Murty and K. Murty proved a modular analogue of the Erdős-Kac theorem [11]. Let  $\tau(n)$  denote the Ramanujan  $\tau$ -function, assuming the Riemann hypothesis for all Dedekind zeta functions of number fields (GRH), they proved that

$$\lim_{x \rightarrow +\infty} \frac{1}{\pi(x)} \# \left\{ p \leq x : \tau(p) \neq 0 \text{ and } \frac{\omega(\tau(p)) - \log \log p}{\sqrt{\log \log p}} < \alpha \right\} = G(\alpha).$$

Liu proved another prime analogue of the Erdős-Kac theorem regarding elliptic curves [8]. Let  $E$  be a non-CM elliptic curve defined over  $\mathbb{Q}$ . For a prime  $p$  of good reduction, denote by  $E(\mathbb{F}_p)$  the set of rational points defined over the finite field  $\mathbb{F}_p$ , under GRH Liu proved

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that

$$\lim_{x \rightarrow +\infty} \frac{1}{\pi(x)} \# \left\{ p \leq x : p \text{ is of good reduction and } \frac{\omega(\#E(\mathbb{F}_p)) - \log \log p}{\sqrt{\log \log p}} < \alpha \right\} = G(\alpha).$$

In a recent paper [10], El-Baz, Loughran and Sofos generalized the work of predecessors and established a multivariate version of the Erdős-Kac theorem. Roughly speaking, if a family of integer sequences satisfies certain hypotheses, the number of distinct prime divisors of these sequences has a probabilistic behavior which fits a multivariate normal distribution. El-Baz, Loughran and Sofos used their result to study the distributions of integral points on varieties.

Applying the result of El-Baz, Loughran, Sofos and generalizing the works of R. Murty, K. Murty and Liu, in this paper we establish some results regarding two or more modular forms which are not twists of each other. The main result of this paper is the following.

**Theorem 1.** *Let  $f \in S_{k_1}(\Gamma_0(N_f))$  and  $h \in S_{k_2}(\Gamma_0(N_h))$  be two non-CM newforms with integral Fourier coefficients  $a_p(f)$  and  $a_p(h)$ , respectively of weights at least 2. Moreover we assume that  $f$  is not a twist of  $h$ , i.e. there exists no Dirichlet character  $\chi$  such that  $f = h \otimes \chi$ . Let  $F_1(x, y), F_2(x, y)$  be two bivariate polynomials with integral coefficients which have the form of  $ax + r(y)$ ,  $a \neq 0$ . Let*

$$T := \{p \text{ is a prime} : F_1(a_p(f), p^{k_1-1}) \neq 0 \text{ and } F_2(a_p(h), p^{k_2-1}) \neq 0\}.$$

For every  $x > 1$ , denote by  $T_x$  the subset of  $T$  consisting of elements less than  $x$ . Then under GRH,

$$\lim_{x \rightarrow +\infty} \frac{1}{|T_x|} \# \{p \in T_x : \omega(F_1(a_p(f), p^{k_1-1})) < \omega(F_2(a_p(h), p^{k_2-1}))\} = \frac{1}{2}.$$

In a word, one can distinguish newforms by the number of distinct primes dividing the Fourier coefficients. In particular, given two non-isogenous non-CM elliptic curves  $E_1$  and  $E_2$  over  $\mathbb{Q}$ , by the modularity theorem [2] and the above result with  $F_1 = F_2 = y + 1 - x$ , we have the following corollary.

**Corollary 2.** *If  $E_1$  is not a quadratic twist of  $E_2$ , then under GRH,*

$$\lim_{x \rightarrow +\infty} \frac{1}{\pi(x)} \# \{p \leq x : p \text{ is of good reduction and } \omega(\#E_1(\mathbb{F}_p)) < \omega(\#E_2(\mathbb{F}_p))\} = \frac{1}{2}.$$

This paper is organized as follows. El-Baz, Loughran and Sofos' theorem is briefly reviewed in Section 2. In Section 3, we use the Galois representations attached to newforms and the effective Chebotarev density theorem to prove Theorem 1 by applying El-Baz, Loughran and Sofos' result. Finally Section 4 contains some examples and generalizations of Theorem 1.

**Notation.** Let  $D$  be a subset of  $\mathbb{C}$  and let  $f, g$  be two complex-valued map defined on  $D$ . If  $g(x)$  is positive and there is a constant  $C$  such that  $|f(x)| \leq Cg(x)$  for all  $x \in D$ , we write either  $f(x) \ll g(x)$  or  $f(x) = O(g(x))$ . In the case that  $D$  is unbounded, we will write  $f(x) = o(g(x))$  if  $\lim_{x \rightarrow \infty, x \in D} f(x)/g(x) = 0$ . Throughout this paper,  $\pi(x)$  denotes the number of primes less than  $x$ ;  $p, \ell$  denote prime numbers;  $k_1, k_2$  denote integers at least 2.

## 2. PRELIMINARIES

In this section, we reformulate El-Baz, Loughran and Sofos' result in a concise form which is sufficient for our application. Let  $T$  be an infinite subset of  $\mathbb{N}$ . For every  $x > 1$ , denote by  $T_x$  the subset of  $T$  consisting of elements less than  $x$ . Given a family of integer sequences  $\{a_i(n)\}_{1 \leq i \leq m, n \in T}$ , we have the following conditions.

- C1. The sequences have polynomial growth, in other words, there exists a constant  $d > 0$  such that  $a_i(n) = O(n^d)$  for all  $n$ . Note that this condition is stronger than the condition appeared in [10, (2.7)].
- C2. For each  $m$ -tuple of square-free integers  $(d_1, \dots, d_m)$ , write

$$R(d_1, \dots, d_m; x) := \frac{1}{|T_x|} \# \{n \in T_x : d_1 \mid a_1(n), \dots, d_m \mid a_m(n)\}.$$

Then there exist two functions  $g$  and  $e$  such that

$$R(d_1, \dots, d_m; x) = g(d_1, \dots, d_m) + e(d_1, \dots, d_m; x)$$

for all  $m$ -tuples of square-free integers  $(d_1, \dots, d_m)$  whose prime divisors are greater than a given constant  $P$ . The function  $g$  should possess a multiplicative property, that is to say

$$g(a_1 b_1, \dots, a_m b_m) = g(a_1, \dots, a_m) g(b_1, \dots, b_m) \text{ if } \gcd(a_1 a_2 \cdots a_m, b_1 b_2 \cdots b_m) = 1.$$

- C3. Let  $y = x^{F(x)}$ ,  $F(x) = \log \log \log x / \sqrt{\log \log x}$ , then for all  $\gamma > 0$ ,

$$(1) \quad \sum' |e(d_1, \dots, d_m; x)| = O((\log \log x)^{-\gamma}),$$

where  $\sum'$  runs through all  $m$ -tuples of square-free integers  $(d_1, \dots, d_m)$  which satisfy that the prime divisors of  $d_i$  are greater than  $P$  and  $d_i < y$  for every  $i$ .

- C4. For each  $1 \leq i, j \leq m$ , let

$$g_i(d) := g(1, \dots, 1, \underset{\uparrow i}{d}, 1, \dots, 1) \text{ and } g_{i,j}(d) := g(1, \dots, 1, \underset{\uparrow i}{d}, 1, \dots, 1, \underset{\uparrow j}{d}, 1, \dots, 1).$$

Then for every  $1 \leq i \leq m$ ,

$$(2) \quad \sum_{\ell > x} g_i^2(\ell) = O\left(\frac{1}{\log x}\right) \text{ and } \sum_{\ell \leq x} g_i(\ell) = c_i \log \log x + c'_i + O\left(\frac{1}{\log x}\right)$$

for some  $c_i > 0, c'_i \in \mathbb{R}$ . Moreover for every  $1 \leq i, j \leq m, i \neq j$ ,

$$(3) \quad \sum_{\ell} g_{i,j}(\ell) < +\infty.$$

Note that this condition implies that the covariance matrix in [10, (2.11)] is trivial.

For each integer  $x > 0$ , define a uniform measure  $P_x$  on  $T$  as follows. For any subset  $A$  of  $T$ , define the probability measure:

$$P_x(A) := \frac{1}{|T_x|} \# \{n \leq x : n \in A\},$$

then equipping with the discrete  $\sigma$ -algebra,  $T$  becomes a probability space. Define the random vector  $K_x : T \rightarrow \mathbb{R}^m$  via

$$K_x(n) := \left( \frac{\omega(a_1(n)) - c_1 \log \log x}{\sqrt{c_1 \log \log x}}, \dots, \frac{\omega(a_m(n)) - c_m \log \log x}{\sqrt{c_m \log \log x}} \right).$$

Recall that a sequence of  $\mathbb{R}^m$ -valued random vectors  $(X_n)_{n \geq 1}$  converges in distribution to  $X$  if the distribution functions of  $(X_n)_{n \geq 1}$  converge to the distribution function  $F$  of  $X$  for all continuous points of  $F$ , it is equivalent to saying that  $\mathbb{P}_n[X_n \in A] \rightarrow \mathbb{P}[X \in A]$  for all Borel sets  $A \subseteq \mathbb{R}^m$  with  $\mathbb{P}[X \in \partial A] = 0$  (cf. [1, p.26]).

The result of [10, Theorem 2.1] claims the convergence of the above random vectors.

**Theorem 3.** *If the family of sequences  $\{a_i(n)\}_{1 \leq i \leq m, n \in T}$  satisfies C1, C2, C3 and C4, then the random vectors*

$$(T, \mathbb{P}_x) \rightarrow \mathbb{R}^m : n \mapsto K_x(n),$$

*converge in distribution as  $x \rightarrow +\infty$  to the standard multivariate normal distribution.*

*Remark 1.* Although in the statement of [10]  $g$  is defined on all  $\mathbb{N}^m$ , from El-Baz, Loughran and Sofos' proof it is enough to assume that the support of  $g$  is the set of vectors  $(d_1, \dots, d_m)$  with square-free entries whose prime divisors are greater than  $P$ .

*Remark 2.* In order that the error function satisfies condition (1), it suffices to check the following stronger condition: there exist constants  $k, \delta > 0$  such that

$$(4) \quad e(d_1, \dots, d_m; x) = O((d_1 \cdots d_m)^k x^{-\delta}).$$

Indeed, if inequality (4) holds, then

$$\begin{aligned} \sum' |e(d_1, \dots, d_m; x)| &\ll x^{-\delta} \sum' (d_1 \cdots d_m)^k \\ &\ll x^{-\delta} \sum' y^{mk} \ll x^{-\delta} y^{mk+m} \ll x^{-\delta}, \end{aligned}$$

where  $\sum'$  runs through all  $m$ -tuples of square-free integers  $(d_1, \dots, d_m)$  such that  $d_i < y$  and  $p \mid d_i \Rightarrow p > P$ . The last inequality holds since  $y = o(x^\epsilon)$  for any  $\epsilon > 0$ .

*Remark 3.* If the family of sequences  $\{a_i(n)\}_{1 \leq i \leq m, n \in T}$  satisfies C1, C2, C3 and C4, by Theorem 3 we have the following Erdős-Kac type theorem: for any Borel set  $A \subseteq \mathbb{R}^m$ ,

$$\begin{aligned} \lim_{x \rightarrow +\infty} \frac{1}{|T_x|} \# \left\{ n \in T_x : \left( \frac{\omega(a_1(n)) - c_1 \log \log x}{\sqrt{c_1 \log \log x}}, \dots, \frac{\omega(a_m(n)) - c_m \log \log x}{\sqrt{c_m \log \log x}} \right) \in A \right\} \\ = \frac{1}{(2\pi)^{m/2}} \int_A e^{-\frac{1}{2}(x_1^2 + \cdots + x_m^2)} dx_1 \cdots dx_m. \end{aligned}$$

Moreover if  $c_1 = \cdots = c_m$  and  $A = \{(x_1, \dots, x_m) \in \mathbb{R}^m : x_1 < \cdots < x_m\}$ , we have

$$\lim_{x \rightarrow +\infty} \frac{1}{|T_x|} \# \{n \in T_x : \omega(a_1(n)) < \cdots < \omega(a_m(n))\} = \frac{1}{m!}.$$

### 3. PROOF OF THEOREM 1

To simplify the notation, we illustrate the result for two newforms. In this section, we choose the elements of the sequences in Section 2 to be the Fourier coefficients of certain newforms. We then check that these sequences satisfy all the conditions in Section 2, then by Theorem 3 and Remark 3 we get the desired result.

**3.1. Images of Galois representations.** Let  $f = \sum_{n=1}^{\infty} a_n(f)q^n \in \mathbb{Z}[[q]] \cap S_{k_1}(\Gamma_0(N_f))$  be a newform which does not have complex multiplication (non-CM, for short). Ribet has pointed out in [12, Remark 2] that for a non-CM newform with integral Fourier coefficients, the Nebentypus character associated to it must be trivial. Since  $S_k(\Gamma_0(N), \chi) = 0$  unless  $\chi(-1) = (-1)^k$ , our conditions entail that the weight is even.

Following the construction of Shimura and Deligne (cf. [3]), attached to  $f$ , there exists an  $\ell$ -adic Galois representation  $\rho_{f,\ell} : \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(\mathbb{Z}_\ell)$  which is unramified outside  $\ell N_f$ . Composing with the natural projection  $\text{GL}_2(\mathbb{Z}_\ell) \rightarrow \text{GL}_2(\mathbb{Z}/\ell\mathbb{Z})$ , we obtain a mod  $\ell$  Galois representation  $\bar{\rho}_{f,\ell}$  such that for any  $p \nmid \ell N_f$ ,

$$\text{tr } \bar{\rho}_{f,\ell}(\text{Frob}_p) \equiv a_p(f) \pmod{\ell} \quad \text{and} \quad \det \bar{\rho}_{f,\ell}(\text{Frob}_p) \equiv p^{k_1-1} \pmod{\ell}.$$

By Ribet's work [13], the image of the mod  $\ell$  representations can be well described. For any sufficiently large prime  $\ell$ , the image of  $\bar{\rho}_{f,\ell}$  is

$$G(\ell, 1) := \{u \in \text{GL}_2(\mathbb{Z}/\ell\mathbb{Z}) : \det u = v^{k_1-1} \text{ for some } v \in (\mathbb{Z}/\ell\mathbb{Z})^*\}.$$

Let  $h = \sum_{n=1}^{\infty} a_n(h)q^n \in \mathbb{Z}[[q]] \cap S_{k_2}(\Gamma_0(N_h))$  be another non-CM newform, and we assume that there is no Dirichlet character  $\chi$  such that  $f = h \otimes \chi$ . Loeffler described the image of the adelic Galois representation  $\widehat{\rho}_f \times \widehat{\rho}_h$ , and he proved that the image of the adelic Galois representation is open in the sense of [9, Theorem 3.4.1]. For sufficiently large primes  $\ell$  and  $\ell'$ , consider the direct sum

$$\bar{\rho}_{\ell,\ell'} := \bar{\rho}_{f,\ell} \oplus \bar{\rho}_{h,\ell'} : \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(\mathbb{Z}/\ell\mathbb{Z}) \times \text{GL}_2(\mathbb{Z}/\ell'\mathbb{Z}).$$

If  $\ell = \ell'$ , Loeffler's result implies that the image of  $\bar{\rho}_{\ell,\ell'}$  is

$$G(\ell, \ell) := \left\{ \begin{array}{l} (u_1, u_2) \in \text{GL}_2(\mathbb{Z}/\ell\mathbb{Z}) \times \text{GL}_2(\mathbb{Z}/\ell\mathbb{Z}) : \\ \det u_1 = v^{k_1-1}, \det u_2 = v^{k_2-1} \text{ for some } v \in (\mathbb{Z}/\ell\mathbb{Z})^* \end{array} \right\}.$$

If  $\ell \neq \ell'$ , by Loeffler's result again, the image of  $\bar{\rho}_{\ell,\ell'}$  is

$$\left\{ \begin{array}{l} (u_1, u_2) \in \text{GL}_2(\mathbb{Z}/\ell\mathbb{Z}) \times \text{GL}_2(\mathbb{Z}/\ell'\mathbb{Z}); \\ \det u_1 = v_1^{k_1-1}, \det u_2 = v_2^{k_2-1} \text{ for some } v_1 \in (\mathbb{Z}/\ell\mathbb{Z})^* \text{ and } v_2 \in (\mathbb{Z}/\ell'\mathbb{Z})^* \end{array} \right\}.$$

For two square-free integers  $d_1, d_2$ , if their prime factorizations are  $d_1 = p_1 \cdots p_r$  and  $d_2 = q_1 \cdots q_s$ , consider

$$\bar{\rho}_{d_1,d_2} := \bar{\rho}_{f,p_1} \oplus \cdots \oplus \bar{\rho}_{f,p_r} \oplus \bar{\rho}_{h,q_1} \oplus \cdots \oplus \bar{\rho}_{h,q_s}.$$

Without loss of generality, we write  $d_1 = LP, d_2 = LQ, \gcd(P, Q) = 1$ . By Loeffler's result and the Chinese remainder theorem, the image of  $\bar{\rho}_{d_1,d_2}$  is

$$G(d_1, d_2) := \left\{ \begin{array}{l} (u_1, u_2, u_3, u_4) \in \text{GL}_2(\mathbb{Z}/L\mathbb{Z}) \times \text{GL}_2(\mathbb{Z}/L\mathbb{Z}) \times \text{GL}_2(\mathbb{Z}/P\mathbb{Z}) \times \text{GL}_2(\mathbb{Z}/Q\mathbb{Z}) : \\ \det u_1 = \alpha^{k_1-1}, \det u_2 = \alpha^{k_2-1} \text{ for some } \alpha \in (\mathbb{Z}/L\mathbb{Z})^*, \\ \det u_3 = \beta^{k_1-1} \text{ for some } \beta \in (\mathbb{Z}/P\mathbb{Z})^*, \\ \det u_4 = \gamma^{k_2-1} \text{ for some } \gamma \in (\mathbb{Z}/Q\mathbb{Z})^* \end{array} \right\}.$$

**3.2. Chebotarev's density theorem.** To gain the arithmetic information from the Galois representations, we need the effective Chebotarev's density theorem. The following version of Chebotarev's density theorem is from Serre [14, Théorème 4].

**Theorem 4.** *Let  $K/\mathbb{Q}$  be a finite Galois extension of number fields with Galois group  $G$ . Let  $C$  be a subset of  $G$  which is stable under conjugation, and let  $\text{Frob}_p$  be the Frobenius element at an unramified prime  $p$ . Denote by  $\pi_C(x)$  the set of primes  $p$  unramified in  $K$  for which  $\text{Frob}_p \in C$  and  $p \leq x$ . Assuming that the Dedekind zeta function  $\zeta_K(s)$  satisfies the Riemann Hypothesis, then*

$$\pi_C(x) = \frac{|C|}{|G|}\pi(x) + O\left(|C|x^{\frac{1}{2}}\left(\frac{\log d_K}{n_K} + \log x\right)\right),$$

where  $d_K$  and  $n_K$  are the discriminant and the degree of the extension  $K/\mathbb{Q}$ , respectively.

The following estimate is useful in our computation:

$$(5) \quad \log d_K \leq (n_K - 1) \sum_{p \in P(K)} \log p + n_K |P(K)| \log n_K,$$

where  $P(K)$  denotes the set of ramified primes [14, Proposition 6].

We follow the notation in Section 3.1. Given two bivariate polynomials  $F_1, F_2$  with integral coefficients, and for two square-free integers  $d_1, d_2$  whose prime divisors are large enough, define

$$C(d_1, d_2) := \left\{ (u_1, u_2, u_3, u_4) \in G(d_1, d_2) : \begin{array}{l} F_1(\text{tr } u_1, \det u_1) = 0, \quad F_1(\text{tr } u_3, \det u_3) = 0 \\ F_2(\text{tr } u_2, \det u_2) = 0, \quad F_2(\text{tr } u_4, \det u_4) = 0 \end{array} \right\}.$$

It is a subset of  $G(d_1, d_2)$  which is stable under conjugation.

Applying the effective Chebotarev's density theorem for the fixed field of  $\ker \bar{\rho}_{d_1, d_2}$ , we get

$$\begin{aligned} & \frac{1}{\pi(x)} \{p \leq x : d_1 \mid F_1(a_p(f), p^{k_1-1}) \text{ and } d_2 \mid F_2(a_p(h), p^{k_2-1})\} \\ &= \frac{|C(d_1, d_2)|}{|G(d_1, d_2)|} + e(d_1, d_2; x). \end{aligned}$$

Let  $g(d_1, d_2) := |C(d_1, d_2)|/|G(d_1, d_2)|$ . The multiplicativity of  $g$  follows from the isomorphism  $G(d_1 d'_1, d_2 d'_2) \cong G(d_1, d_2) \times G(d'_1, d'_2)$  for  $\gcd(d_1 d_2, d'_1 d'_2) = 1$ .

For the remainder term, the degree of the extension is  $O((d_1 d_2)^4)$  (cf. Lemma 5), by inequality (5) we have

$$\pi(x)e(d_1, d_2; x) = O\left((d_1 d_2)^4 x^{\frac{1}{2}} \log((d_1 d_2)^5 N_f N_h x)\right).$$

So for some  $\epsilon > 0$ ,

$$e(d_1, d_2; x) = O\left((d_1 d_2)^5 x^{\epsilon - \frac{1}{2}}\right).$$

*Remark 4.* Note that the above error estimation has a similar form with condition (4). Rather, according to Remark 2, a quasi-GRH, which assumes that the associated zeta functions have no zero in the region  $\text{Re}(s) > \delta$  for some  $\delta \in (\frac{1}{2}, 1)$ , is sufficient for our purpose, while it seem as difficult as the original GRH. It is a valuable challenge to seek an unconditional proof of inequality (1).

**3.3. Calculate conjugacy classes.** In this section, we verify the conditions (2) (3) in some special cases. Throughout this section, we keep the notation in Section 3.2.

**Lemma 5.** *Let  $\delta = \gcd(\ell - 1, k_1 - 1)$  and  $d = \gcd(\ell - 1, k_1 - 1, k_2 - 1)$ , then for sufficiently large prime  $\ell$ ,*

$$|G(\ell, 1)| = \frac{(\ell - 1)^2 \ell (\ell + 1)}{\delta} \text{ and } |G(\ell, \ell)| = \frac{(\ell - 1)^3 \ell^2 (\ell + 1)^2}{d}.$$

*Proof.* The first assertion follows from the exact sequence

$$1 \rightarrow \mathrm{SL}_2(\mathbb{F}_\ell) \longrightarrow G(\ell, 1) \longrightarrow \mathbb{F}_\ell^{*\delta} \rightarrow 1.$$

Similarly, we have the exact sequence

$$1 \rightarrow \mathrm{SL}_2(\mathbb{F}_\ell) \times \mathrm{SL}_2(\mathbb{F}_\ell) \longrightarrow G(\ell, \ell) \longrightarrow D \rightarrow 1,$$

where  $D = \{(v^{k_1-1}, v^{k_2-1}) : v \in \mathbb{F}_\ell^*\}$ . The order of  $D$  can be calculated from

$$1 \rightarrow \langle g^{\frac{\ell-1}{d}} \rangle \longrightarrow \mathbb{F}_\ell^* \xrightarrow{\varphi} D \rightarrow 1,$$

where  $g$  is a generator of  $\mathbb{F}_\ell^*$  and  $\varphi$  is given by  $v \mapsto (v^{k_1-1}, v^{k_2-1})$ , so

$$|D| = \frac{\ell - 1}{d},$$

the lemma follows. □

**Lemma 6.** *Let  $\ell$  be an odd prime. For given  $t \in \mathbb{F}_\ell$  and  $d \in \mathbb{F}_\ell^*$ ,*

$$\# \{u \in \mathrm{GL}_2(\mathbb{F}_\ell) : \mathrm{tr} u = t, \det u = d\} = \ell^2 + \left(\frac{t^2 - 4d}{\ell}\right) \ell,$$

where  $\left(\frac{\cdot}{\ell}\right)$  denotes the Legendre symbol modulo  $\ell$ .

*Proof.* This follows easily from the following table.

TABLE 1. conjugacy classes of  $\mathrm{GL}_2(\mathbb{F}_\ell)$

Representative	No. of elements in each class	No. of classes	$\mathrm{tr}^2 - 4 \det$
$\begin{pmatrix} x & 0 \\ 0 & x \end{pmatrix}$	1	$\ell - 1$	0
$\begin{pmatrix} x & 1 \\ 0 & x \end{pmatrix}$	$\ell^2 - 1$	$\ell - 1$	0
$\begin{pmatrix} x & 0 \\ 0 & y \end{pmatrix}$	$\ell^2 + \ell$	$(\ell - 1)(\ell - 2)/2$	$(x - y)^2$
$\begin{pmatrix} x & \varepsilon y \\ y & x \end{pmatrix}$	$\ell^2 - \ell$	$\ell(\ell - 1)/2$	$4\varepsilon y^2$

$\varepsilon$  is a quadratic nonresidue (mod  $\ell$ )

□

**Lemma 7.** For every  $\delta \mid k_1 - 1$ , define

$$L_\delta = \{\ell : \gcd(k_1 - 1, \ell - 1) = \delta\}.$$

Given a bivariate polynomial  $F_1(x, y)$  with integral coefficients, for every sufficiently large  $\ell \in L_\delta$ , let

$$N_{F_1}(\ell) = \{(x, y) \in \mathbb{F}_\ell \times \mathbb{F}_\ell^{*\delta} : F_1(x, y) = 0\}.$$

Assuming that there exist constants  $\epsilon \in (0, 1]$  and  $c_\delta \in \mathbb{R}_{>0}$  such that

$$\#N_{F_1}(\ell) = c_\delta \ell + O(\ell^{1-\epsilon}),$$

then

$$g(\ell, 1) = \frac{c_\delta \delta}{\ell} + O(\ell^{-1-\epsilon}),$$

and there exist constants  $c_1 \in \mathbb{R}_{>0}$  and  $c' \in \mathbb{R}$  such that

$$\sum_{\ell > x} g(\ell, 1)^2 = O\left(\frac{1}{\log x}\right) \quad \text{and} \quad \sum_{\ell \leq x} g(\ell, 1) = c_1 \log \log x + c' + O\left(\frac{1}{\log x}\right).$$

*Proof.* According to Lemma 6, we have

$$\begin{aligned} |C(\ell, 1)| &= \#\{u \in \mathrm{GL}_2(\mathbb{F}_\ell) : F_1(\mathrm{tr} u, \det u) = 0, \det u \in \mathbb{F}_\ell^{*\delta}\} \\ &= \sum_{(x,y) \in N_{F_1}(\ell)} \#\{u \in \mathrm{GL}_2(\mathbb{F}_\ell) : \mathrm{tr} u = x, \det u = y\} \\ &= \ell^2 \#N_{F_1}(\ell) + \ell \sum_{(x,y) \in N_{F_1}(\ell)} \left(\frac{x^2 - 4y}{\ell}\right) \\ &= \ell^3 c_\delta + O(\ell^{3-\epsilon}). \end{aligned}$$

By Lemma 5,  $|G(\ell, 1)| = \ell^4/\delta + O(\ell^3)$ , hence

$$g(\ell, 1) = \frac{c_\delta \delta}{\ell} + O(\ell^{-1-\epsilon}), \quad \ell \in L_\delta.$$

The first assertion follows easily from the Euler summation formula.

To check that  $g(\ell, 1)$  has average order  $c \log \log x$ , we need the Mertens' theorem for arithmetic progressions [15]: for any integer  $m \geq 1$  and integer  $a$  which is coprime with  $m$ , there exists a constant  $c_{m,a}$  such that

$$\sum_{\ell \leq x, \ell \equiv a(m)} \frac{1}{\ell} = \frac{1}{\varphi(m)} \log \log x + c_{m,a} + O\left(\frac{1}{\log x}\right),$$

where  $\varphi(m)$  denotes Euler's totient function. If  $a$  is not coprime with  $m$ , the above sum is bounded as  $x$  varies. Note that the set  $\{n \in \mathbb{N} : \gcd(n - 1, k_1 - 1) = \delta\}$  can be divided into disjoint arithmetic progressions modulo  $k_1 - 1$ , so there exist constants  $\alpha_\delta, \beta_\delta$  such that

$$\sum_{\ell \leq x, \ell \in L_\delta} \frac{1}{\ell} = \alpha_\delta \log \log x + \beta_\delta + O\left(\frac{1}{\log x}\right).$$



Then we have

$$\begin{aligned}
\sum_{\ell \leq x} g(\ell, 1) &= \sum_{\delta | k_1 - 1} \sum_{\ell \leq x, \ell \in L_\delta} g(\ell, 1) \\
&= \sum_{\delta | k_1 - 1} c_\delta \delta \sum_{\ell \leq x, \ell \in L_\delta} \frac{1}{\ell} + O(1) \\
&= \left( \sum_{\delta | k_1 - 1} c_\delta \alpha_\delta \delta \right) \log \log x + c' + O\left(\frac{1}{\log x}\right).
\end{aligned}$$

□

**Lemma 8.** For every  $d \mid \gcd(k_1 - 1, k_2 - 1)$ , define

$$P_d = \{\ell : \gcd(k_1 - 1, k_2 - 1, \ell - 1) = d\}.$$

Given two bivariate polynomials  $F_1(x, y), F_2(x, y)$  with integral coefficients, for every sufficiently large  $\ell \in P_d$ , let

$$N_{F_1, F_2}(\ell) = \{(x_1, x_2, y_1, y_2) \in \mathbb{F}_\ell \times \mathbb{F}_\ell \times D : F_i(x_i, y_i) = 0, i = 1, 2\}.$$

Assuming that there exist constants  $\epsilon \in (0, 1]$  and  $c_d \in \mathbb{R}_{\geq 0}$  such that

$$(6) \quad \#N_{F_1, F_2}(\ell) = c_d \ell + O(\ell^{1-\epsilon}),$$

then

$$\sum_{\ell} g(\ell, \ell) < +\infty.$$

*Proof.* For any  $\ell \in P_d$ , by Lemma 6 we have

$$\begin{aligned}
|C(\ell, \ell)| &= \#\left\{ (u_1, u_2) \in \mathrm{GL}_2(\mathbb{F}_\ell) \times \mathrm{GL}_2(\mathbb{F}_\ell) : \begin{array}{l} F_1(\mathrm{tr} u_1, \det u_1) = 0, \\ F_2(\mathrm{tr} u_2, \det u_2) = 0, \end{array} (\det u_1, \det u_2) \in D \right\} \\
&= \sum_{(x_i, y_i) \in N_{F_1, F_2}(\ell)} \#\left\{ u_1 \in \mathrm{GL}_2(\mathbb{F}_\ell) : \begin{array}{l} \mathrm{tr} u_1 = x_1 \\ \det u_1 = y_1 \end{array} \right\} \#\left\{ u_2 \in \mathrm{GL}_2(\mathbb{F}_\ell) : \begin{array}{l} \mathrm{tr} u_2 = x_2 \\ \det u_2 = y_2 \end{array} \right\} \\
&= \ell^4 \#N_{F_1, F_2}(\ell) + O(\ell^4) \\
&= \ell^5 c_d + O(\ell^{5-\epsilon}).
\end{aligned}$$

By Lemma 5,  $|G(\ell, \ell)| = \ell^7/d + O(\ell^6)$ , we have

$$g(\ell, \ell) = \frac{c_d d}{\ell^2} + O(\ell^{-2-\epsilon}), \text{ for } \ell \in P_d.$$

Hence

$$\sum_{\ell \leq x} g(\ell, \ell) = \sum_{d | (k_1 - 1, k_2 - 1)} \sum_{\ell \leq x, \ell \in P_d} g(\ell, \ell) \ll \sum_{\ell \leq x} \frac{1}{\ell^2},$$

the last series converges, which completes the proof. □

**Lemma 9.** If  $F_1(x, y)$  and  $F_2(x, y)$  have the form of  $ax + r(y)$ ,  $a \in \mathbb{Z} \setminus \{0\}$ ,  $r(y) \in \mathbb{Z}[y]$ , then conditions (2) (3) are satisfied and the constants  $c_1, c_2$  in condition (2) are equal to 1.

*Proof.* For sufficiently large  $\ell$ ,  $ax + r(y) \equiv 0 \pmod{\ell}$  if and only if  $x \equiv -a^{-1}r(y) \pmod{\ell}$ . For any  $\delta \mid k-1$ ,  $\ell \in L_\delta$ ,

$$\begin{aligned} \#N_{F_1}(\ell) &= \sum_{y \in \mathbb{F}_\ell^{*\delta}} \# \{x \in \mathbb{F}_\ell : x = -a_1^{-1}r_1(y)\} \\ &= \sum_{y \in \mathbb{F}_\ell^{*\delta}} 1 = \frac{\ell-1}{\delta}. \end{aligned}$$

By Lemma 7,  $g(\ell, 1) = \ell^{-1} + O(\ell^{-2})$  for all sufficiently large  $\ell$  and in the same manner  $g(1, \ell) = \ell^{-1} + O(\ell^{-2})$ . Therefore condition (2) follows from the Mertens' theorem. Similarly for any  $d \mid (k-1, k'-1)$ ,  $\ell \in P_d$ ,

$$\begin{aligned} \#N_{F_1, F_2}(\ell) &= \sum_{(y_1, y_2) \in D} \# \{(x_1, x_2) \in \mathbb{F}_\ell \times \mathbb{F}_\ell : x_1 = -a_1^{-1}r_1(y_1), x_2 = -a_2^{-1}r_2(y_2)\} \\ &= \sum_{(y_1, y_2) \in D} 1 = \frac{\ell-1}{d}, \end{aligned}$$

this calculation combined with Lemma 8 completes the proof.  $\square$

**3.4. Conclusion.** The polynomial growth condition C1 follows from Ramanujan's bound [4, Théorème(8.2)]. We have checked the multiplicativity of  $g$  and the error condition in Section 3.2, then combined with Lemma 9, all the conditions C1-C4 have been verified. Theorem 1 then follows from Theorem 3 and Remark 3.

#### 4. REMARKS AND GENERALIZATIONS

**4.1. Examples.** Let  $f$  and  $h$  be two newforms as in Theorem 1 and assuming that the generalized Riemann hypothesis is true for all Dedekind zeta functions of number fields. We choose  $F_1 = F_2 = x, y - x + 1$ , respectively. Then by Theorem 1, we have

$$\begin{aligned} \lim_{x \rightarrow +\infty} \frac{1}{\pi(x)} \# \{p \leq x : a_p(f), a_p(h) \neq 0, \omega(a_p(f)) < \omega(a_p(h))\} &= \frac{1}{2}, \\ \lim_{x \rightarrow +\infty} \frac{1}{\pi(x)} \# \{p \leq x : p \nmid N_f N_h, \omega(p^{k_1-1} - a_p(f) + 1) < \omega(p^{k_2-1} - a_p(h) + 1)\} &= \frac{1}{2}. \end{aligned}$$

We give an example in which the polynomials are not of the form  $ax + r(y)$ . Take  $F_1 = F_2 = x^2 - y$  and write  $\delta_i = \gcd(\ell-1, k_i-1)$ . Since  $k_i$  is even, we have

$$|N_{F_i}(\ell)| = \# \{(x, y) \in \mathbb{F}_\ell \times \mathbb{F}_\ell^{*\delta_i} : x^2 = y\} = \frac{\ell-1}{\delta_i}.$$

Let  $D = \{(v^{k_1-1}, v^{k_2-1}) : v \in \mathbb{F}_\ell^*\}$ , then

$$\begin{aligned} |N_{F_1, F_2}(\ell)| &= \# \{(x_1, x_2, y_1, y_2) \in \mathbb{F}_\ell \times \mathbb{F}_\ell \times D : x_1^2 = y_1, x_2^2 = y_2\} \\ &= \sum_{(y_1, y_2) \in D} \left(1 + \left(\frac{y_1}{\ell}\right)\right) \left(1 + \left(\frac{y_2}{\ell}\right)\right) = O(\ell). \end{aligned}$$

By Theorem 3, Remark 3, Lemma 7 and Lemma 8, we conclude that

$$\lim_{x \rightarrow +\infty} \frac{1}{\pi(x)} \# \{p \leq x : p \nmid N_f N_h, \omega(a_{p^2}(f)) < \omega(a_{p^2}(h))\} = \frac{1}{2}.$$

**4.2. Multiplicity one.** We describe the above phenomena more precisely. Take  $F_1 = F_2 = x$  as an example, Theorem 3 states that the following two random variables

$$\left( \frac{\omega(a_p(f)) - \log \log x}{\sqrt{\log \log x}}, \frac{\omega(a_p(h)) - \log \log x}{\sqrt{\log \log x}} \right)$$

behave like two independent normally distributed random variables when  $x$  goes to infinity, so the random variables

$$R_x(p) := \frac{\omega(a_p(f)) - \omega(a_p(h))}{\sqrt{\log \log x}}$$

converge in distribution to a difference of two independent standard normal distributions, i.e. a normal distribution with mean 0 and variance 2. Hence for any  $\epsilon > 0$ ,

$$\lim_{x \rightarrow +\infty} \frac{1}{\pi(x)} \# \left\{ p \leq x : a_p(f), a_p(h) \neq 0, \frac{|\omega(a_p(f)) - \omega(a_p(h))|}{\sqrt{\log \log x}} > \epsilon \right\} = \frac{1}{2\sqrt{\pi}} \int_{\epsilon}^{\infty} e^{-x^2/4} dx.$$

This implies that for any constant  $C \geq 0$ , the set

$$\{p : a_p(f), a_p(h) \neq 0, |\omega(a_p(f)) - \omega(a_p(h))| \geq C\}$$

has natural density 1. In other words, we have the following result.

**Proposition 10.** *Let  $f \in S_{k_1}(\Gamma_0(N_f))$  and  $h \in S_{k_2}(\Gamma_0(N_h))$  be two non-CM newforms with integral Fourier coefficients  $a_n(f)$  and  $a_n(h)$ , respectively of weights at least 2. If for some constant  $C \geq 0$ ,*

$$\limsup_{x \rightarrow +\infty} \frac{1}{\pi(x)} \{p : a_p(f), a_p(h) \neq 0, |\omega(a_p(f)) - \omega(a_p(h))| \leq C\} > 0,$$

*then assuming GRH, there exists a Dirichlet character  $\chi$  such that  $f = h \otimes \chi$ .*

**4.3. On  $m$  newforms.** Loeffler pointed out that the open image theorem also holds for three or more newforms which are not twists of each other (see [9, Theorem 3.4.2]). Similar arguments can be applied to these newforms and we have the following generalization.

**Theorem 11.** *Let  $f_1, \dots, f_m$  be a family of non-CM newforms with integral Fourier coefficients  $a_p(f_1), \dots, a_p(f_m)$  of weights  $k_1, \dots, k_m \geq 2$ , respectively. Let  $F_1(x, y), \dots, F_m(x, y)$  be bivariate polynomials with integral coefficients which have the form of  $ax + r(y)$ ,  $a \neq 0$ . For every  $x > 0$ , let*

$$T_x := \{p \leq x : F_i(a_p(f_i), p^{k_i-1}) \neq 0, i = 1, \dots, m\}.$$

*For simplicity of notation, we write  $\omega_i$  instead of  $\omega(F_i(a_p(f_i), p^{k_i-1}))$ . Assuming GRH, then either*

- *there is a Dirichlet character  $\chi$  such that  $f_i = f_j \otimes \chi$  for some  $i \neq j$ ;*
- *or for any permutation  $\sigma \in S_n$ ,*

$$\lim_{x \rightarrow +\infty} \frac{1}{|T_x|} \# \{p \in T_x : \omega_{\sigma(1)} < \dots < \omega_{\sigma(m)}\} = \frac{1}{m!}.$$

4.4. **On combinations of newforms.** Let  $f \in S_{k_1}(\Gamma_0(N_1))$  be a cusp form such that

- (i)  $f = \sum_{i=1}^m a_i f_i$ , where each  $f_i$  is a non-CM newform with integral Fourier coefficients and  $a_i \in \mathbb{Z} \setminus \{0\}$ .
- (ii) For  $i \neq j$ , there exists no Dirichlet character  $\chi$  such that  $f_i = f_j \otimes \chi$ .

It is worth pointing out that the eigenform  $f_i$  is not necessary a newform at level  $N_1$ , it may be a newform of  $S_{k_1}(\Gamma_0(M))$ , where  $M \mid N_1$ . Let  $h \in S_{k_2}(\Gamma_0(N_2))$  be another cusp form satisfying the above conditions, write  $h$  as a sum of distinct newforms:  $h = \sum_{j=1}^n b_j h_j$ . We further assume that there exists no Dirichlet character  $\chi$  such that  $f_i = h_j \otimes \chi$  for  $1 \leq i \leq m, 1 \leq j \leq n$ . For every  $x > 0$ , let

$$T_x := \{p \leq x : a_p(f) \neq 0 \text{ and } a_p(h) \neq 0\}.$$

**Proposition 12.** *With the above notation, under GRH, for any constant  $C \geq 0$ ,*

$$\lim_{x \rightarrow +\infty} \frac{1}{|T_x|} \# \{p \in T_x : |\omega(a_p(f)) - \omega(a_p(h))| \geq C\} = 1.$$

*Proof.* The strategy is the same as the proof of Theorem 1, we sketch the proof in the following. We need to check that the pair  $(a_p(f), a_p(h))$  satisfies C1, C2, C3 and C4 in Section 2. The polynomial growth condition is obvious. For two square-free integers  $d_1, d_2$  with sufficiently large prime divisors, we consider the Galois representation

$$\bar{\rho}_{d_1, d_2} = \bigoplus_{1 \leq i \leq m} \bar{\rho}_{f_i, d_1} \times \bigoplus_{1 \leq j \leq n} \bar{\rho}_{h_j, d_2}.$$

The image of  $\bar{\rho}_{d_1, d_2}$  is well described by Loeffler's theorem. It has a similar form with  $G(d_1, d_2)$  in Section 3.1, denote by  $\tilde{G}(d_1, d_2)$  the image of  $\bar{\rho}_{d_1, d_2}$ . Without loss of generality, we write  $d_1 = LP, d_2 = LQ, \gcd(P, Q) = 1$ , then  $\tilde{G}(d_1, d_2)$  is the direct product of the following two groups:

$$\tilde{G}(L, L) = \left\{ \begin{array}{l} (u_1, \dots, u_m, v_1, \dots, v_n) \in \prod_{i=1}^m \text{GL}_2(\mathbb{Z}/L\mathbb{Z}) \times \prod_{j=1}^n \text{GL}_2(\mathbb{Z}/L\mathbb{Z}) : \\ \forall i, j, \det u_i = \alpha^{k_1-1}, \det v_j = \alpha^{k_2-1} \text{ for some } \alpha \in (\mathbb{Z}/L\mathbb{Z})^* \end{array} \right\},$$

$$\tilde{G}(P, Q) = \left\{ \begin{array}{l} (u_1, \dots, u_m, v_1, \dots, v_n) \in \prod_{i=1}^m \text{GL}_2(\mathbb{Z}/P\mathbb{Z}) \times \prod_{j=1}^n \text{GL}_2(\mathbb{Z}/Q\mathbb{Z}) : \\ \forall i, \det u_i = \alpha^{k_1-1} \text{ for some } \alpha \in (\mathbb{Z}/P\mathbb{Z})^*, \\ \forall j, \det v_j = \beta^{k_2-1} \text{ for some } \beta \in (\mathbb{Z}/Q\mathbb{Z})^* \end{array} \right\}.$$

By the Chebotarev's density theorem, we have

$$\frac{1}{\pi(x)} \{p \leq x : d_1 \mid a_p(f), d_2 \mid a_p(h)\} = \frac{|\tilde{C}(d_1, d_2)|}{|\tilde{G}(d_1, d_2)|} + \tilde{e}(d_1, d_2; x),$$

where  $\tilde{C}(d_1, d_2)$  is the union of the conjugacy classes of  $\tilde{G}(d_1, d_2)$  whose elements satisfy a trace zero condition. For example, if  $\gcd(d_1, d_2) = 1$  or  $d_1 = d_2$ , then

$$\tilde{C}(d_1, d_2) = \left\{ (u_1, \dots, u_m, v_1, \dots, v_n) \in G(d_1, d_2) : \sum_{i=1}^m a_i \text{tr } u_i = 0, \sum_{j=1}^n b_j \text{tr } v_j = 0 \right\}.$$

Let  $\tilde{g}(d_1, d_2) := |\tilde{C}(d_1, d_2)|/|\tilde{G}(d_1, d_2)|$ , according to our construction, the multiplicativity of  $\tilde{g}$  is obvious. Under GRH, the error condition (4) is satisfied. We need to check conditions (2) and (3). We claim that for any sufficiently large  $\ell$ ,

$$\tilde{g}(\ell, 1) = \frac{1}{\ell} + O\left(\frac{1}{\ell^2}\right) \quad \text{and} \quad \tilde{g}(1, \ell) = \frac{1}{\ell} + O\left(\frac{1}{\ell^2}\right).$$

The proof runs as in that of Lemma 7. Let  $\delta = (\ell - 1, k_1 - 1)$ , the order of

$$\tilde{G}(\ell, 1) = \left\{ (u_1, \dots, u_m) \in \prod_{i=1}^m \text{GL}_2(\mathbb{F}_\ell) : \forall i, \det u_i = \alpha^{k_1-1}, \alpha \in \mathbb{F}_\ell^* \right\}$$

is  $\ell^{3m+1}/\delta + O(\ell^{3m})$ . It remains to calculate the order of  $\tilde{C}(\ell, 1)$ . Note that the order of

$$N(\ell) := \{(x_1, \dots, x_m) \in \mathbb{F}_\ell \times \dots \times \mathbb{F}_\ell : a_1 x_1 + \dots + a_m x_m = 0\}$$

is  $\ell^{m-1}$ . Let  $\Delta := \{(v^{k_1-1}, \dots, v^{k_1-1}) : v \in \mathbb{F}_\ell^*\}$ , we have

$$\begin{aligned} |\tilde{C}(\ell, 1)| &= \# \left\{ (u_1, \dots, u_m) \in \tilde{G}(\ell, 1) : a_1 \text{tr } u_1 + \dots + a_m \text{tr } u_m = 0 \right\} \\ &= \sum_{(x_1, \dots, x_m) \in N(\ell)} \sum_{(y_1, \dots, y_m) \in \Delta} \prod_{1 \leq i \leq m} \# \{u_i \in \text{GL}_2(\mathbb{F}_\ell) : \text{tr } u_i = x_i, \det u_i = y_i\} \\ &= \sum_{(x_1, \dots, x_m) \in N(\ell)} \sum_{(y_1, \dots, y_m) \in \Delta} (\ell^{2m} + O(\ell^{2m-1})) \\ &= \ell^{3m}/\delta + O(\ell^{3m-1}). \end{aligned}$$

Similar calculation holds for  $\tilde{g}(1, \ell)$  and the claim follows. Using the same argument as before, we have

$$|\tilde{G}(\ell, \ell)| = \ell^{3m+3n+1}/d + O(\ell^{3m+3n}) \quad \text{and} \quad |\tilde{C}(\ell, \ell)| = \ell^{3m+3n-1}/d + O(\ell^{3m+3n-2}),$$

where  $d = \gcd(k_1 - 1, k_2 - 1, \ell - 1)$ . Hence

$$\sum_{\ell} \tilde{g}(\ell, \ell) < +\infty,$$

and this finishes the proof. □

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