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Amplifications in Analytic Number Theory

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Inequalities as desired

Let $\mathcal{A} = \{a_n\}$ be a complex sequence indexed by n in a suitable family \mathcal{F} .

Question

What about the information of a_n as n varies in \mathcal{F} ?

- lower bound
- upper bound
- vanishing or non-vanishing
- equidistribution

Typical instances:

- L -functions (Lindelöf Hypothesis, BSD conjecture, etc)
- Exponential sums (Riemann/Lindelöf Hypothesis, Weil conjecture, etc)

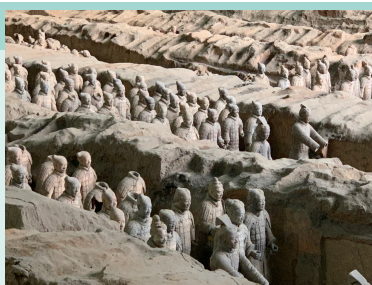
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Framework

- Examples (algebraic/analytic exponential sums, L -functions)
- Amplification from inside: van der Corput
- Amplification from inside: Vinogradov, Burgess
- Amplification from outside: Kloosterman
- Other amplifications

Example I: algebraic exponential sums

- $q \in \mathbf{Z}^+$
- $e(z) = \exp(2\pi iz)$
- $K(m, n; q)$: the Kloosterman sum defined by

$$K(m, n; q) = \sum_{a \in (\mathbf{Z}/q\mathbf{Z})^\times} e\left(\frac{ma + na^{-1}}{q}\right)$$

Theorem (Kloosterman, 1927)

For all primes $p \nmid mn$, we have

$$|K(m, n; p)| \leq 2p^{3/4}.$$

- Kloosterman's circle method and solvability of $N = ax^2 + by^2 + cz^2 + dt^2$
- Is $3/4$ is best possible?

Example II: analytic exponential sums

- $e(z) = \exp(2\pi iz)$, $t \in \mathbf{R}$
- zeta sum $(f(x) = \frac{t}{2\pi} \log x)$

$$\sum_{n \leq N} n^{it} = \sum_{n \leq N} e\left(\frac{t}{2\pi} \log n\right) = \sum_{n \leq N} e(f(n))$$

Theorem (van der Corput, 1921; Vinogradov, 1930's-50's)

For $t \geq N \geq 2$ we have

$$\sum_{n \leq N} n^{it} \ll N \cdot (N^{-1/2} t^{1/6} + t^{-1/6}) \log N,$$

$$\sum_{n \leq N} n^{it} \ll N \cdot \exp(-c(\log N)^3 (\log t)^{-2}).$$

- $\zeta\left(\frac{1}{2} + it\right) \ll t^{1/6+\varepsilon}$ (Weyl. Hardy-Littlewood, Landau)
- upper bound for $\zeta(\sigma + it)$ for σ close to 1, zero-free region of ζ (Vinogradov)

Example III: L -functions

- $q \in \mathbf{Z}^+$
- χ a Dirichlet character of conductor q
- $L(s, \chi)$ the Dirichlet L -function defined by

$$L(s, \chi) = \sum_{n \geq 1} \chi(n)n^{-s}, \quad \Re s > 1$$

- class number of $\mathbf{Q}(\sqrt{-q})$ and $L(1, \chi)$ with χ quadratic
- non-vanishing of $L(1, \chi)$ and primes in arithmetic progressions
- lower bound for $L(1, \chi)$ and Landau–Siegel zero
- upper bound for $L(\frac{1}{2}, \chi)$ and Lindelöf Hypothesis

Amplification of van der Corput

$$S := \sum_{M < n \leq M+N} a_n$$

- For all integers h ,

$$S = \sum_{M < n+h \leq M+N} a_{n+h}.$$

- Summing over $h \leq H$ gives

$$S = \frac{1}{H} \sum_{M-H < n \leq M+N-1} \sum_{\substack{h \leq H \\ M-n < h \leq M+N-n}} a_{n+h}.$$

- By Cauchy, we find

$$|S|^2 \leq \frac{N+H-1}{H^2} \sum_n \left| \sum_h a_{n+h} \right|^2 \leq \frac{N+H-1}{H} \sum_{|h| < H} \left(1 - \frac{|h|}{H}\right) \sum_{M < n, n+h \leq M+N} a_n \overline{a_{n+h}}.$$

Amplification of van der Corput

$$S(f, I) := \sum_{M < n \leq M+N} e(f(n))$$

- (Weyl differencing)

$$|S(f, I)|^2 \ll \frac{N+H-1}{H} \sum_{|h| < H} \left(1 - \frac{|h|}{H}\right) \sum_{M < n, n+h \leq M+N} e(f(n) - f(n+h)).$$

- In principle, the function $x \mapsto f(x) - f(x+h)$ has a slower growth than the original function $f(x)$.
- If f is a polynomial of degree k , one then arrives at a sum along with geometric progressions after $k-1$ iterations of the above process.
- One may optimize the choice of H in practice.

van der Corput method

There are two innovations of van der Corput:

- **Weyl differencing (A -process):**

The parameter H is flexible: new exponential sum with new amplitude function

- **Poisson summation (B -process):**

Dual sum with (essentially) the same amplitude function, but with longer/shorter length

The original van der Corput method relies on the key feature:

- The amplitude function f is “smooth” enough.

van der Corput in algebraic situations

- $q \geq 2$ an integer or even a prime power
- V a suitable algebraic variety over \mathbf{Z} , $\mathbf{Z}/q\mathbf{Z}$ or \mathbf{F}_q
- f a rational function over V

Algebraic Exponential Sums

$$\sum_{\mathbf{x} \in V(\mathbf{Z}/q\mathbf{Z})} e\left(\frac{f(\mathbf{x})}{q}\right), \quad \sum_{\mathbf{x} \in V(\mathbf{F}_q)} \psi(f(\mathbf{x}))$$

In general, we may consider the average of $W_q : \mathbf{Z}/q\mathbf{Z} \rightarrow \mathbf{C}$:

$$\sum_n W_q(n).$$

Typical examples (complete sums)

- Gauss sum

$$\tau(a, \chi) = \sum_{x \in \mathbf{Z}/q\mathbf{Z}} \chi(x) e\left(\frac{ax}{q}\right)$$

- Kloosterman sum

$$\text{Kl}(a, q) = \frac{1}{\sqrt{q}} \sum_{x \in (\mathbf{Z}/q\mathbf{Z})^\times} e\left(\frac{ax + x^{-1}}{q}\right)$$

- hyper-Kloosterman sum ($k \geq 2$)

$$\text{Kl}_k(a, q) = q^{\frac{1-k}{2}} \sum_{\substack{x_1, x_2, \dots, x_k \in (\mathbf{Z}/q\mathbf{Z})^\times \\ x_1 x_2 \cdots x_k = a}} e\left(\frac{x_1 + x_2 + \cdots + x_k}{q}\right)$$

Typical examples (incomplete sums)

- character sum

$$\sum_{n \in I} \chi(n)$$

- incomplete Kloosterman sum

$$\sum_{\substack{n \in I \\ (n, q) = 1}} e\left(\frac{an^{-1}}{q}\right)$$

- bilinear form of Kloosterman sums

$$\sum_m \sum_n \alpha_m \beta_n \text{Kl}(mn, q)$$

- sums of products of Kloosterman sums

$$\sum_{n \in I} \prod_{1 \leq j \leq r} \text{Kl}(n + h_j, q)$$

van der Corput in algebraic situations

$$S := \sum_{n \in I} W(n)$$

Lemma (Heath-Brown / Irving)

- (*A-process*) Assume $q = q_1 q_2$ with $(q_1, q_2) = 1$ and $W_i : \mathbf{Z}/q_i \mathbf{Z} \rightarrow \mathbf{C}$. Define $W = W_1 W_2$, then for any $1 \leq L \leq |I|/q_2$ we have

$$|S|^2 \leq \|W\|_\infty^2 L^{-1} |I| \left(|I| + \|W_1\|_\infty^{-2} \sum_{0 < |\ell| \leq L} \left| \sum_{n, n+\ell q_2 \in I} W_1(n) \overline{W_1(n + \ell q_2)} \right| \right).$$

- (*B-process*) For $W : \mathbf{Z}/q \mathbf{Z} \rightarrow \mathbf{C}$, we have

$$|S| \ll \frac{|I|}{\sqrt{q}} \left(|\widehat{W}(0)| + (\log q) \left| \sum_{h \in \mathcal{I}} \widehat{W}(h) e\left(\frac{ha}{q}\right) \right| \right)$$

for certain $a \in \mathbf{Z}$ and some interval \mathcal{I} not containing 0 with $|\mathcal{I}| \leq q/|I|$, where \widehat{W} denotes the (normalized) Fourier transform of W .

van der Corput in algebraic situations

- New functions appear:

$$n \mapsto W_1(n) \overline{W_1(n+h)},$$

and

$$n \mapsto \widehat{W}(n)$$

with

$$\widehat{W}(n) = \frac{1}{\sqrt{q}} \sum_{x \in \mathbf{Z}/q\mathbf{Z}} W(x) e\left(\frac{-nx}{q}\right).$$

van der Corput method and arithmetic exponent pairs

- $q \geq 3$ is a squarefree number with $P^+(q) < q^\eta$ for any small $\eta > 0$
- $K = \prod_{p|q} K_p$ is a **compositely amiable trace function** (ℓ -adic cohomology)
- We expect the following bound

$$\sum_{n \in I} K(n) \ll N^\varepsilon (q/N)^\kappa N^\lambda \quad (\Omega)$$

holds for some (κ, λ) , where $|I| = N < q$.

Proposition (Initial choices)

If K is compositely 1-amiable, then (Ω) holds for

$$(\kappa, \lambda) = (0, 1), \quad \left(\frac{1}{2}, \frac{1}{2}\right).$$

van der Corput method and arithmetic exponent pairs

For $J, L \geq 1$, put

$$\mathfrak{A}_q(J, L) = \{K \pmod{q} : K \text{ compositely } J\text{-amiable, } \widehat{K} \text{ compositely } L\text{-amiable}\}$$

Definition (Exponent pairs)

Let $J, L \geq 1$ and $N \leq q$.

We say (κ, λ) satisfying $0 \leq \kappa \leq \frac{1}{2} \leq \lambda \leq 1$ is an **exponent pair of width $(J; L)$** , if (Ω) holds for all $K \in \mathfrak{A}_q(J, L)$.

An exponent pair of **width $(\infty; L)$** with some $L \geq 1$ is called an **arithmetic exponent pair**.

van der Corput method and arithmetic exponent pairs

Theorem (Jie Wu & Xi¹, 2021)

Let $J \geq 1$. If (κ, λ) is an exponent pair of width $(J; 1)$, then

$$A \cdot (\kappa, \lambda) = \left(\frac{\kappa}{2(\kappa + 1)}, \frac{\kappa + \lambda + 1}{2(\kappa + 1)} \right).$$

is an exponent pair of width $(J + 1; 1)$.

Theorem (Jie Wu & Xi, 2021)

If (κ, λ) is an exponent pair of width $(1; 1)$, then so is

$$B \cdot (\kappa, \lambda) = \left(\lambda - \frac{1}{2}, \kappa + \frac{1}{2} \right).$$

¹J. Wu & P. Xi, Arithmetic exponent pairs for algebraic trace functions and applications, with an appendix by Will Sawin, *Algebra Number Theory* **15** (2021), 2123–2172.

van der Corput method and arithmetic exponent pairs

$$\sum_{M < n \leq M+N} K(n) \ll N^\epsilon (q/N)^\kappa N^\lambda.$$

Processes	A	A^2	A^3	BA^2
(κ, λ)	$(\frac{1}{6}, \frac{2}{3})$	$(\frac{1}{14}, \frac{11}{14})$	$(\frac{1}{30}, \frac{26}{30})$	$(\frac{2}{7}, \frac{4}{7})$
Processes	BA^3	ABA^2	A^2BA^2	$BABA^2$
(κ, λ)	$(\frac{11}{30}, \frac{16}{30})$	$(\frac{2}{18}, \frac{13}{18})$	$(\frac{2}{40}, \frac{33}{40})$	$(\frac{4}{18}, \frac{11}{18})$

- estimates for **short** algebraic exponential sums
- applications to distribution of primes, L -functions, etc

Amplification of I. M. Vinogradov

$$\sum_{a < n \leq b} e(f(n))$$

- Small shift & averaging

$$\sum_{a-xy < n \leq b-xy} e(f(n+xy)) \approx \frac{1}{XY} \sum_{a < n \leq b} \sum_{x \sim X} \sum_{y \sim Y} e(F_n(xy))$$

- Hölder & grouping variables (Take $F(t) = \sum_{1 \leq i \leq k} \alpha_i t^i$)

$$\begin{aligned} \sum_{x \sim X} \left| \sum_{y \sim Y} e(F(xy)) \right|^r &= \sum_{x \sim X} \theta(x) \sum_{y_1, \dots, y_r} e(F(xy_1) + \dots + F(xy_r)) \\ &= \sum_{\lambda_1, \dots, \lambda_k} \nu(\lambda_1, \dots, \lambda_k) \sum_{x \sim X} \theta(x) e(\alpha_1 \lambda_1 x + \dots + \alpha_k \lambda_k x^k), \end{aligned}$$

where $\nu(\lambda_1, \dots, \lambda_k)$ counts the solutions to the system of equations

$$y_1^i + \dots + y_r^i = \lambda_i, \quad 1 \leq i \leq k.$$

Amplification of I. M. Vinogradov

$$\sum_{\lambda_1, \dots, \lambda_k} \nu(\lambda_1, \dots, \lambda_k) \sum_{x \sim X} \theta(x) e(\alpha_1 \lambda_1 x + \dots + \alpha_k \lambda_k x^k)$$

- By Hölder, we need to consider

$$\sum_{\lambda_1, \dots, \lambda_k} \nu(\lambda_1, \dots, \lambda_k)^2, \quad \sum_{\lambda_1, \dots, \lambda_k} \left| \sum_{x \sim X} \theta(x) e(\alpha_1 \lambda_1 x + \dots + \alpha_k \lambda_k x^k) \right|^{2s}.$$

- The second moment of ν is equal to $J_{r,k}(Y)$, the number of solutions to

$$y_1^i + \dots + y_r^i = y_{r+1}^i + \dots + y_{2r}^i, \quad 1 \leq i \leq k.$$

- $J_{r,k}(Y)$ lies in the heart of Vinogradov's method, and it is exactly equal to

$$\int_0^1 \dots \int_0^1 \left| \sum_{x \sim X} e(\alpha_1 x + \dots + \alpha_k x^k) \right|^{2r} d\alpha_1 \dots d\alpha_k.$$

- See the works of Vinogradov (1935), Hua (1949), Karatsuba (1973), Wooley (2016), Bourgain–Demeter–Guth (2016).

Burgess's method after Vinogradov

Let χ be a non-trivial Dirichlet character mod p . Let w be a smooth function mimicking the indicator function of $[1, 2]$. Put

$$S := \sum_{n \in \mathbf{Z}} w\left(\frac{n}{N}\right) \chi(n).$$

- For all integers u, v , we have **(Trivial!)**

$$S = \sum_{n \in \mathbf{Z}} w\left(\frac{n + uv}{N}\right) \chi(n + uv).$$

- Summing over $u \sim U, v \sim V$, we have **(Trivial!)**

$$S \approx \frac{1}{UV} \sum_{u \sim U} \sum_{v \sim V} \sum_{n \in \mathbf{Z}} w\left(\frac{n + uv}{N}\right) \chi(n + uv).$$

- For $(u, p) = 1$, observe that $\chi(n + uv) = \chi(u)\chi(n\bar{u} + v)$. **(Closer to non-trivial!)**
- How to group u, u and separate u, v ?

Burgess's method after Vinogradov

- Put $\varrho(a) = |\{(n, u) : |n| \leq N, u \sim U, n \equiv ua \pmod{p}\}|$, so that

$$S \ll \frac{1}{UV} \sum_{a \pmod{p}} \varrho(a) \left| \sum_{v \sim V} \theta_v \chi(a+v) \right|, \quad \theta_v \in S^1.$$

- By Hölder, we are led to

$$\sum_{a \pmod{p}} \varrho(a)^2, \quad \sum_{a \pmod{p}} \left| \sum_{v \sim V} \theta_v \chi(a+v) \right|^{2r}.$$

- The second average is at most

$$\sum_{\mathbf{v} \in [1, V]^{2r}} \left| \sum_{x \pmod{p}} \chi \left(\frac{(x+v_1) \cdots (x+v_r)}{(x+v_{r+1}) \cdots (x+v_{2r})} \right) \right|.$$

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- complete character sums over finite fields: RH/\mathbf{F}_q

Burgess bound

Theorem (Burgess, 1960-70's)

Let p be a large prime and χ a non-trivial character modulo p . For all $r \in \mathbf{Z}^+$ we have

$$\sum_{M < n \leq M+N} \chi(n) \ll N^{1-\frac{1}{r}} p^{\frac{r+1}{4r^2} + \varepsilon}$$

for any $\varepsilon > 0$.

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for any $\varepsilon > 0$.

- Trivial bound: $\min\{q, N\}$.
- Burgess is non-trivial as long as $N > p^{1/4+\varepsilon}$.
- Burgess's method requires the periodic and multiplicative feature.

Applications of Burgess's method to bilinear forms

$$\sum_m \sum_n \alpha_m \beta_n K(mn)$$

- Friedlander–Iwaniec, Fouvry–Michel, Kowalski–Michel–Sawin, et al.
- The aim is to beat the Pólya–Vinogradov barrier:

$$\sum_m \left| \sum_n \beta_n K(mn) \right|^2 = \sum_{n_1} \sum_{n_2} \beta_{n_1} \bar{\beta}_{n_2} \sum_m K(mn_1) \overline{K(mn_2)}$$

Theorem (Fouvry, Kowalski & Michel, 2014)

Let p be a large prime. For all “good” K and $1 \leq M, N \leq p$, we have

$$\sum_{m \leq M} \sum_{n \leq N} \alpha_m \beta_n K(mn, p) \ll \|\alpha\| \|\beta\| (MN)^{\frac{1}{2}} (N^{-\frac{1}{2}} + M^{-\frac{1}{2}} p^{\frac{1}{4}} \log p).$$

Applications of Burgess's method to bilinear forms

$$\mathrm{Kl}_k(n, p) := p^{\frac{1-k}{2}} \sum_{\substack{x_1, \dots, x_k \in \mathbf{F}_p^* \\ x_1 \cdots x_k = n}} \cdots \sum e\left(\frac{x_1 + \cdots + x_k}{p}\right).$$

Theorem (Kowalski–Michel–Sawin, 2017²)

Let p be a large prime. For each fixed $k \geq 2$, we have

$$\sum_{m \leq M} \sum_{n \leq N} \alpha_m \beta_n \mathrm{Kl}_k(mn, p) \ll \|\alpha\| \|\beta\| (MN)^{\frac{1}{2}} (N^{-\frac{1}{2}} + (MN)^{-\frac{3}{16}} p^{\frac{11}{64}}) p^\varepsilon$$

for

$$p^{4\varepsilon} < N < Mp^{\frac{1}{4}}, \quad p^{\frac{11}{12}} < MN < p^{\frac{5}{4}}.$$

- This is non-trivial as long as $M = N > p^{\frac{11}{24} + \varepsilon}$.

²E. Kowalski, Ph. Michel & W. Sawin, Bilinear forms with Kloosterman sums and applications, *Annals of Math.* **186** (2017), 413–500.

Bilinear forms over arbitrary subsets

Let $\mathcal{M}, \mathcal{N} \subseteq \mathbf{F}_p$ be two arbitrary subsets and consider a function $K : \mathbf{F}_p \rightarrow \mathbf{C}$. Assume $\alpha = (\alpha_m)$ and $\beta = (\beta_n)$ are arbitrary coefficients with supports in \mathcal{M}, \mathcal{N} , respectively. Put

$$\mathcal{B}(\alpha, \beta; K) = \sum_{m \in \mathcal{M}} \sum_{n \in \mathcal{N}} \alpha_m \beta_n K(mn). \quad (1)$$

- Neither of \mathcal{M}, \mathcal{N} is obviously contained in suitable intervals, there is no hope to transform incomplete sums to complete sums directly by Fourier analysis (as in the Pólya–Vinogradov method).
- By raising powers in the application of Hölder's inequality, we are able to prove non-trivial bounds for some general K and $|\mathcal{M}| > p^{1/2+\varepsilon}$, $|\mathcal{N}| > p^\varepsilon$.

Bilinear forms over arbitrary subsets

Theorem (Xi³, 2023)

Let $K = \text{Kl}_k(a \cdot, p)$ with $a \in \mathbf{F}_p^\times$ and $k \geq 2$. For all $r \geq 2$ and $\mathcal{M}, \mathcal{N} \subseteq \mathbf{F}_p$ satisfying

$$|\mathcal{M}|, |\mathcal{N}| \leq p^{\frac{2}{3}}, \quad |\mathcal{N} + \mathcal{N}| \leq \lambda |\mathcal{N}| \quad (\lambda \geq 1),$$

we have

$$\mathcal{B}(\boldsymbol{\alpha}, \boldsymbol{\beta}; K) \ll \|\boldsymbol{\alpha}\|_\infty \|\boldsymbol{\beta}\|_\infty |\mathcal{M}| |\mathcal{N}| \left\{ |\mathcal{M}|^{-\frac{1}{2}} + \left(\frac{p^{3 + \frac{9\lambda}{4r}}}{|\mathcal{M}|^4 |\mathcal{N}|^3} \right)^{\frac{1}{8r}} (\log p)^{\frac{1}{2r}} \right\},$$

where the implied constant depends only on (r, λ) and polynomially on k .

- This is non-trivial as long as

$$|\mathcal{M}| = |\mathcal{N}| > p^{\frac{3}{7} + \varepsilon}.$$

³P. Xi, Bilinear forms with trace functions over arbitrary sets, and applications to Sato–Tate, to appear in *SCIENCE CHINA Math.*, 2023

Bilinear forms over arbitrary subsets

We need the following Freiman type theorem from additive combinatorics.

Lemma (M.-C. Chang, 2002)

Assume that $\mathcal{A} \subseteq \mathbf{Z}$ is a finite set with $|\mathcal{A} + \mathcal{A}| \leq \lambda|\mathcal{A}|$ for some $\lambda \geq 1$. Then \mathcal{A} is contained in a proper d -dimensional arithmetic progression \mathcal{P} with

$$d \leq \lambda - 1, \quad \log(|\mathcal{P}|/|\mathcal{A}|) \leq C\lambda^2(\log \lambda)^3.$$

- While working with generalized arithmetic progressions, one is able to introduce the $+ab$ shift to amplify the original sum.

Multilinear character sums

- joint with É. Fouvry & I. E. Shparlinski (in progress, double Burgess)

$$\sum_{m \sim M} \sum_{n \sim N} \sum_{k \sim K} \alpha_m \beta_{n,k} \chi(mn + k)$$

$$\sum_{m \sim M} \sum_{n \sim N} \sum_{k \sim K} \sum_{l \sim L} \alpha_{m,l} \beta_{n,k} \chi(mn + kl)$$

Amplification of Kloosterman

$$K(m, n; q) = \sum_{a \in (\mathbf{Z}/q\mathbf{Z})^\times} e\left(\frac{ma + na^{-1}}{q}\right)$$

$$\max_{(m,p)=1} |K(m, 1; p)| \leq 2p^{3/4}.$$

- Kloosterman evaluated the fourth moment

$$\sum_{m \in (\mathbf{Z}/p\mathbf{Z})^\times} |K(m, 1; p)|^4 = 2p^3 - 3p^2 - 3p - 1.$$

- The square root cancellation philosophy predicts that

$$\max_{(m,p)=1} |K(m, 1; p)| \leq c\sqrt{p}.$$

- $c = 2$ is admissible thanks to Weil (1940's): Riemann Hypothesis over finite fields

A trivial amplification

Let $\mathcal{A} = \{a_n\}$ be a complex sequence indexed by n in a suitable family \mathcal{F} .

- Consider the moment ($k \in \mathbf{Z}^+$)

$$M_k(\mathcal{F}) = \sum_{n \in \mathcal{F}} |a_n|^k.$$

- Trivially, $\max_{n \in \mathcal{F}} |a_n|^k \leq M_k(\mathcal{F})$, which yields

$$\|\mathcal{A}\|_\infty \leq \|\mathcal{A}\|_{\ell^k}.$$

- This becomes stronger if one may bound M_k effectively for

larger k or smaller \mathcal{F}

The trivial amplification: second moment

Consider the second moment of Kloosterman sums

$$\sum_{m \in (\mathbf{Z}/p\mathbf{Z})^\times} |K(m, 1; p)|^2 = p^2 - p - 1.$$

This can only produce the trivial bound by the trivial amplification.

The trivial amplification: second moment

- Let f be a holomorphic cusp form for $\mathrm{SL}_2(\mathbf{Z})$. Given a non-trivial Dirichlet character mod p , consider the second moment of twisted L -functions:

$$\sum_{\chi \pmod{p}}^* |L(1/2, f \otimes \chi)|^2.$$

We expect a non-trivial upper bound for $L(1/2, f \otimes \chi)$.

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- The Phragmen–Lindelöf principle implies $L(1/2, f \otimes \chi) \ll_f p^{1/2+\varepsilon}$, and Lindelöf Hypothesis predicts that $L(1/2, f \otimes \chi) \ll p^\varepsilon$.

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- The Phragmen–Lindelöf principle implies $L(1/2, f \otimes \chi) \ll_f p^{1/2+\varepsilon}$, and Lindelöf Hypothesis predicts that $L(1/2, f \otimes \chi) \ll p^\varepsilon$.
- The subconvexity problem aims to prove, for some constant $\delta > 0$, that

$$L(1/2, f \otimes \chi) \ll p^{1/2-\delta}.$$

The trivial amplification: second moment

- Let f be a holomorphic cusp form for $\mathrm{SL}_2(\mathbf{Z})$. Given a non-trivial Dirichlet character mod p , consider the second moment of twisted L -functions:

$$\sum_{\chi \pmod{p}}^* |L(1/2, f \otimes \chi)|^2.$$

We expect a non-trivial upper bound for $L(1/2, f \otimes \chi)$.

- The Phragmen–Lindelöf principle implies $L(1/2, f \otimes \chi) \ll_f p^{1/2+\varepsilon}$, and Lindelöf Hypothesis predicts that $L(1/2, f \otimes \chi) \ll p^\varepsilon$.
- The subconvexity problem aims to prove, for some constant $\delta > 0$, that

$$L(1/2, f \otimes \chi) \ll p^{1/2-\delta}.$$

- (Kowalski, Michel & Sawin, 2017)

$$\sum_{\chi \pmod{p}}^* |L(1/2, f \otimes \chi)|^2 = c_1 p \log p + c_2 p + O(p^{1-\eta}).$$

The trivial amplification gives a trivial bound.

A non-trivial amplification: twisted second moment

Given an arbitrary coefficient $\mathbf{c} = (c_\ell)_{\ell \sim L}$ with $\|\mathbf{c}\|_\infty \leq 1$, consider

$$M(\mathbf{c}, p) := \sum_{\chi \pmod{p}}^* \left| \sum_{\ell \sim L} c_\ell \chi(\ell) \right|^2 |L(1/2, f \otimes \chi)|^2.$$

- Approximate functional equation yields that

$$M(\mathbf{c}, p) \approx \sum_{\ell_1, \ell_2 \sim L} c_{\ell_1} \bar{c}_{\ell_2} \sum_{\substack{m_1, m_2 \leq p \\ m_1 \ell_1 \equiv m_2 \ell_2 \pmod{p}}} \lambda_f(m_1) \overline{\lambda_f(m_2)} \ll pL + p^{1-2\delta} L^2.$$

- For each non-trivial character $\chi_1 \pmod{p}$, choose $c_\ell = \bar{\chi}_1(\ell)$, so that

$$L^2 |L(1/2, f \otimes \chi_1)|^2 \ll M(\mathbf{c}, p) \ll pL + p^{1-\delta} L^2.$$

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$$L^2 |L(1/2, f \otimes \chi_1)|^2 \ll M(\mathbf{c}, p) \ll pL + p^{1-\delta} L^2.$$

$$L(1/2, f \otimes \chi_1) \ll \sqrt{p/L} + p^{1/2-\delta}.$$

Well done!

A non-trivial amplification: twisted second moment

$$L(1/2, f), \quad L(1/2, f \otimes \chi), \quad L(1/2, f \otimes g)$$

- [1] W. Duke, J. B. Friedlander, H. Iwaniec, Bounds for automorphic L -functions, *Invent. math.* **112** (1993), 1–8.
- [2] W. Duke, J. B. Friedlander, H. Iwaniec, Bounds for automorphic L -functions II, *Invent. math.* **115** (1994), 219–239; erratum, *ibid.* **140** (2000), 227–242.
- [3] W. Duke, J. Friedlander, H. Iwaniec, Class group L -functions, *Duke Math. J.* **79** (1995), 1–56.
- [4] W. Duke, J. B. Friedlander, H. Iwaniec, Bounds for automorphic L -functions II, *Invent. math.* **143** (2001), 221–248.
- [5] E. Kowalski, Ph. Michel, J. VanderKam, Rankin–Selberg L -functions in the level aspect, *Duke Math. J.* **114** (2002), 123–191.
- [6] Ph. Michel, The subconvexity problem for Rankin–Selberg L -functions and equidistribution of Heegner points. *Ann. Math.* **160** (2004), 185–236.

Another amplification: cubic moment

- Omniscient narration: $L(1/2, f \otimes \chi) \ll p^\varepsilon$ for all $\chi \pmod{p}$.
- Suppose we are able to prove the **cubic** moment

$$\sum_{\chi \pmod{p}} |L(1/2, f \otimes \chi)|^3 \ll p^n.$$

A trivial amplification yields

$$L(1/2, f \otimes \chi) \ll p^{n/3}.$$

- An admissible choice $n = 3/2 - \delta$ is sufficient to produce subconvexity.

Cubic moment in the spirit of Motohashi ⁴

THEOREM. *If $0 < \Delta < T(\log T)^{-1}$, then there exist absolute constants $c(a, b; k, l)$ such that*

$$\begin{aligned} & (\Delta\sqrt{\pi})^{-1} \int_{-\infty}^{\infty} |\zeta(\tfrac{1}{2} + i(T+t))|^4 e^{-(t/\Delta)^2} dt \\ &= (\Delta\sqrt{\pi})^{-1} \int_{-\infty}^{\infty} \operatorname{Re} \left[\sum_{\substack{a, b, k, l \geq 0 \\ ak + bl \leq 4}} c(a, b; k, l) \left(\frac{\Gamma(a)}{\Gamma}\right)^k \left(\frac{\Gamma(b)}{\Gamma}\right)^l \left(\tfrac{1}{2} + i(T+t)\right) \right] e^{-(t/\Delta)^2} dt \\ &+ \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{|\zeta(\tfrac{1}{2} + it)|^6}{|\zeta(1 + 2it)|^2} \Theta(t; T, \Delta) dt + \sum_{j=1}^{\infty} \alpha_j H_j \left(\tfrac{1}{2}\right)^3 \Theta(\chi_j; T, \Delta) \\ &+ \sum_{k=6}^{\infty} \sum_{j=1}^{\vartheta(k)} \alpha_{j,k} H_{j,k} \left(\tfrac{1}{2}\right)^3 \Theta\left(i\left(\tfrac{1}{2} - k\right); T, \Delta\right) + O(T^{-1}(\log T)^2). \end{aligned} \tag{1.16}$$

⁴Y. Motohashi, An explicit formula for the fourth power mean of the Riemann zeta-function, *Acta Math.* **170** (1993), 181–220.

Cubic moment in the spirit of Motohashi

- (Motohashi, 1993)

$$\int_{\mathbb{R}} |\zeta(\frac{1}{2} + it)|^4 w(t) dt = \sum_f L(\frac{1}{2}, f)^3 \check{w}(t_f) + (\text{Eisenstein part}),$$

where the sum runs over all holomorphic/Maass forms f with spectral parameter t_f for the group $\text{SL}_2(\mathbb{Z})$.

- (Conrey–Iwaniec, 2000; Petrow–Young, 2020)

$$\sum_{f \in \mathcal{F}(T)} L(\frac{1}{2}, f \otimes \chi)^3 + \int_{-T}^T L(\frac{1}{2} + it, \chi)^6 dt \rightsquigarrow \text{fourth moment of } \text{GL}_1 \text{ } L\text{-functions}$$

Cubic moment in the spirit of Motohashi

Theorem (Petrow–Young⁵ ⁶, 2020/2023)

Let χ be a non-trivial character mod q . Then

$$L\left(\frac{1}{2} + it, \chi\right) \ll ((1 + |t|)q)^{\frac{1}{6} + \varepsilon}.$$

- Conrey–Iwaniec⁷ treated the case of quadratic χ with a larger exponent in t .
- Number Fields: Balkanova, Frolenkov & Han Wu⁸ and Nelson⁹

⁵I. Petrow & M. Young, The Weyl bound for Dirichlet L -functions of cube-free conductor, *Ann. Math.* **192** (2020), 437–486.

⁶I. Petrow & M. Young, The fourth moment of Dirichlet L -functions along a coset and the Weyl bound, to appear in *Duke Math. J.*, DOI: 10.1215/00127094-2022-0069.

⁷J. B. Conrey & H. Iwaniec, The cubic moment of central values of automorphic L -functions, *Ann. Math.* **151** (2000), 1175–1216.

⁸O. Balkanova, D. Frolenkov & H. Wu, On Weyl’s subconvex bound for cube-free Hecke characters: totally real case. arXiv: 2108.12283.

⁹P. Nelson, Eisenstein series and the cubic moment for PGL_2 , arXiv:1911.06310.

From GL_1 to GL_2

Theorem (Petrow–Young¹⁰, 2020)

Let p be an odd prime, and suppose F is a Hecke–Maass newform of level $q = p^2$, trivial central character, and spectral parameter t_F . If the local representation of $\mathrm{GL}_2(\mathbb{Q}_p)$ associated to F is not supercuspidal, then

$$L\left(\frac{1}{2}, F\right) \ll (q(1 + |t_F|^2))^{\frac{1}{6} + \varepsilon}.$$

¹⁰I. Petrow & M. Young, The Weyl bound for Dirichlet L -functions of cube-free conductor, *Ann. Math.* **192** (2020), 437–486.

¹¹H. Wu & P. Xi, A uniform Weyl bound for L -functions of Hilbert modular forms, arXiv: 2302.14652.

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Theorem (Han Wu & Xi¹¹, 2023)

The above assumption on “supercuspidal” can be removed.

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Motohashi's formula in number fields

$$M_3(\Psi) := \sum_{\pi \text{ cuspidal}} M_3(\Psi | \pi) + \sum_{\chi \in \mathbb{R}_+ \widehat{\mathbf{F}^\times \backslash \mathbb{A}^\times}} \int_{-\infty}^{\infty} M_3(\Psi | \chi, i\tau) \frac{d\tau}{4\pi},$$

$$M_4(\Psi) = \frac{1}{\zeta_{\mathbf{F}}^*} \sum_{\chi \in \mathbb{R}_+ \widehat{\mathbf{F}^\times \backslash \mathbb{A}^\times}} \int_{\Re s = \frac{1}{2}} M_4(\Psi | \chi, s) \frac{ds}{2\pi i}.$$

Theorem (Han Wu¹², 2022)

Let $\Psi \in \mathcal{S}(M_2(\mathbb{A}))$ be a Schwartz function. Then

$$M_3(\Psi) + \frac{1}{\zeta_{\mathbf{F}}^*} \operatorname{Res}_{s=\frac{1}{2}} M_3(\Psi | 1, s) = M_4(\Psi) + \frac{1}{\zeta_{\mathbf{F}}^*} \left\{ \operatorname{Res}_{s=1} M_4(\Psi | 1, s) - \operatorname{Res}_{s=0} M_4(\Psi | 1, s) \right\}.$$

¹²H. Wu, On Motohashi's formula, *Trans. Amer. Math. Soc.* **375** (2022), 8033–8081.

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Motohashi's formula in number fields

- M_3, M_4 can be expressed as products of local zeta integrals over all places.
- The novelty of this formulation is that one may construct the weight functions place by place; i.e., it suffices to work locally.
- We are led to several cases according to the sizes of conductor components $\mathfrak{a}(\pi)$ of $\pi = \pi_v$.
- For π supercuspidal with $\mathfrak{a}(\pi) = \mathfrak{2}$, it has depth 0 and is constructed from a character of the group of invertible elements of the quadratic field extension of the residual field.
- Works of Deligne and Katz are employed to bound the double character sum

$$\sum_{\alpha \in \mathbb{F}_q} \rho(\alpha + \omega) \sum_{t \in \mathbb{F}_q} \chi(t) \eta(\alpha^2 - \omega^2 t) \bar{\eta}(1 - t),$$

where ω is a primitive element in \mathbb{F}_{q^2} such that $\omega^2 \in \mathbb{F}_q$, χ, η are non-trivial multiplicative characters of \mathbb{F}_q^\times and ρ is that of $\mathbb{F}_{q^2}^\times$.

Amplifications in other situations

- (Selberg sieve; upper bound)

$$\sum_{\substack{n \in \mathcal{A} \\ (n, P(z))=1}} 1 \leq \sum_{n \in \mathcal{A}} \left(\sum_{d|(n, P(z))} \lambda_d \right)^2$$

- (prime gaps; GPY and Yitang Zhang)

$$\sum_{x < n \leq 2x} \left(\sum_{1 \leq j \leq k} 1_{n+h_j \text{ prime}} - 1 \right) \left(\sum_{d|(n+h_1) \cdots (n+h_k)} \lambda_d \right)^2$$

- (Mollification; non-vanishing of L -functions)

$$\left| \frac{1}{|\mathcal{F}|} \sum_{f \in \mathcal{F}} L\left(\frac{1}{2}, f\right) M(f) \right|^2 \leq \left(\frac{1}{|\mathcal{F}|} \sum_{f \in \mathcal{F}, L\left(\frac{1}{2}, f\right) \neq 0} 1 \right) \left(\frac{1}{|\mathcal{F}|} \sum_{f \in \mathcal{F}} |L\left(\frac{1}{2}, f\right) M(f)|^2 \right)$$



Thank You!