

Lecture 3: Abstract p-adic forms & corank thm

Notations \tilde{H} primitive $\mathbb{O}[\mathbb{F}_p]$ -proj augmented module of type \bar{p} .

• $\varepsilon: \Delta^2 \rightarrow \mathbb{O}^\times$, $\varepsilon(\bar{a}, \bar{x}) = \bar{a}^{a+bx}$, $\bar{a} \in \Delta$.

• $\mathbb{O}[\mathbb{N}]^{(\varepsilon)} = \mathbb{O}[\Delta \times \mathbb{Z}_p^\times] \otimes_{\mathbb{O}[\Delta]} \varepsilon \mathbb{O} \simeq \mathbb{O}[\mathbb{N}]$

$w = \mathbb{I}(1, \exp(p))\mathbb{I}^{-1}$.

• $\chi_{\text{univ}}^{(\varepsilon)}: \mathcal{B}^{\text{op}}(\mathbb{Z}_p) = \begin{pmatrix} \mathbb{Z}_p^\times & 0 \\ \mathbb{Z}_p & \mathbb{Z}_p^\times \end{pmatrix} \longrightarrow (\mathbb{O}[\mathbb{N}]^{(\varepsilon)})^\times$
 $\begin{pmatrix} \alpha & 0 \\ \gamma & \delta \end{pmatrix} \longmapsto [(\bar{\alpha}, \bar{\delta})] \otimes 1$
 $\varepsilon(\bar{\alpha}, \bar{\delta}) \cdot (1+w)^{\log(\delta/\alpha\bar{\delta})/p}$

• $\text{Ind}_{\mathcal{B}^{\text{op}}(\mathbb{Z}_p)}^{\text{Imp}}(\chi_{\text{univ}}^{(\varepsilon)}) := \left\{ \text{cont } f: \text{Imp} \rightarrow \mathbb{O}[\mathbb{N}]^{(\varepsilon)} \mid \begin{array}{l} f(gb) = \chi_{\text{univ}}^{(\varepsilon)}(b) f(g) \\ f \left(\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} (g) \right) = f \left(\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} g \right) \end{array} \right\}$

right action convolution.

$\hookrightarrow \text{Ind}_{\mathcal{B}^{\text{op}}(\mathbb{Z}_p)}^{\text{Imp}}(\chi_{\text{univ}}^{(\varepsilon)}) \simeq C(\mathbb{Z}_p, \mathbb{O}[\mathbb{N}]^{(\varepsilon)})$.

$f \longmapsto h(z) = f \left(\begin{pmatrix} 1 & z \\ 0 & 1 \end{pmatrix} \right)$.

• The action extends to $M_1 = \left\{ \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in M_2(\mathbb{Z}_p) : p \nmid \alpha, p \nmid \delta, \det \neq 0 \right\}$.

$\det \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = p^t d$, for some $d \in \mathbb{Z}_p^\times$.

$h \left(\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} (z) \right) = [(\bar{\alpha}/\bar{\delta}, \bar{\gamma}z + \bar{\delta})] h \left(\frac{\alpha z + \beta}{\gamma z + \delta} \right)$
 $= \varepsilon(\bar{\alpha}/\bar{\delta}, \bar{\delta}) \cdot (1+w)^{\log(\gamma z + \delta / \alpha \bar{\delta})/p} h \left(\frac{\alpha z + \beta}{\gamma z + \delta} \right)$.

• $\mathcal{S}_{\tilde{H}}^{(\varepsilon)} = \text{Hom}_{\text{Imp}}(\tilde{H}, \text{Ind}_{\mathcal{B}^{\text{op}}(\mathbb{Z}_p)}^{\text{Imp}}(\chi_{\text{univ}}^{(\varepsilon)}))$
 $\simeq \text{Hom}_{\text{Imp}}(\tilde{H}, C(\mathbb{Z}_p, \mathbb{O}[\mathbb{N}]^{(\varepsilon)}))$ families of p-adic forms.

$\text{Imp} \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} \text{Imp} = \coprod v_j \text{Imp}$, e.g. $v_j = \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}$.

Similarly, families of overconvergent forms

• $\mathcal{S}_{\tilde{H}}^{t, (\varepsilon)} = \text{Hom}_{\text{Imp}}(\tilde{H}, \chi^{(\varepsilon), s \frac{1}{p}} \langle \mathbb{Z}_p \rangle)$,

$\begin{matrix} \mathbb{O} & \mathbb{O} \\ \cup & \cup \\ \mathbb{Z}_p & M_1 \end{matrix}$

where $\Lambda^{\leq \frac{1}{p}} = \mathcal{O} \langle w/p \rangle$, $\Lambda^{\geq \frac{1}{p}} = \mathcal{O}[\![w]\!] \langle P/w \rangle$, $\Lambda^{\frac{1}{p}} = \mathcal{O} \langle w/p, P/w \rangle$,
 and $\Lambda^{(\mathcal{E}), ?} = \mathcal{O}[\![w]\!]^{(\mathcal{E})} \otimes_{\mathcal{O}[\![w]\!] } \Lambda^?$.

(U_p is compact on $S_{\tilde{H}}^{+(\mathcal{E})}$.)

• $\psi: \Delta^2 \rightarrow \mathcal{O}^x$, $k \geq 2$,

$$S_{\tilde{H}, k}^+(\psi) := \text{Hom}_{\mathbb{I}_p}(\tilde{H}, \mathcal{O} \langle z \rangle \otimes \psi).$$

For $f \in \mathcal{O} \langle z \rangle$, $f \Big|_{\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}} := (\gamma z + \delta)^k \cdot f\left(\frac{\alpha z + \beta}{\gamma z + \delta}\right)$.

$$S_{\tilde{H}, k}^{\mathbb{I}_w}(\psi) := \text{Hom}_{\mathbb{I}_p}(\tilde{H}, \mathcal{O}[\![z]\!]^{k-2} \otimes \psi).$$

• $(\psi, k) \mapsto \mathcal{E} = \mathcal{E}(\psi, k) = \psi(1 \times w^k)$

It turns out $S_{\tilde{H}, k}^{\mathbb{I}_w}(\psi) \subset S_{\tilde{H}, k}^+(\psi) \subset S_{\tilde{H}}^{+(\mathcal{E})} \otimes_{w \mapsto w^k} \mathcal{O}$.

• $\eta: \Delta \rightarrow \mathcal{O}^x \mapsto S_k^{ur}(\eta) := \text{Hom}_{\mathbb{I}_p}(\tilde{H}, \mathcal{O}[\![z]\!]^{k-2} \otimes \eta \circ \det)$

$$\mathcal{O} \quad M_2 = \left\{ \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in M_2(\mathbb{Z}_p) : \det \neq 0 \right\}.$$

s.t. $(\eta \circ \det) \Big|_{\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}} = \eta(d)$,

$p \mid d = \det \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$, $d \in \mathbb{Z}_p^x$.

Char power series of U_p

$U_p \subset S_{\tilde{H}}^{+(\mathcal{E})}$ compact \mapsto only defined over $\Lambda^{(\mathcal{E}), \leq \frac{1}{p}}$.

\mapsto sublattice $C^\circ(\mathbb{Z}_p, \Lambda^{(\mathcal{E}), \geq \frac{1}{p}})^{\text{mod}}$
 "modified".

$$\hat{\bigoplus} w^{[M/p]} \binom{p}{n} \Lambda^{(\mathcal{E}), \geq \frac{1}{p}} \subset C^\circ(\mathbb{Z}_p, \Lambda^{(\mathcal{E}), \geq \frac{1}{p}}).$$

resp. $p^{[M/p]} \binom{p}{n}$ with " $\leq \frac{1}{p}$ ".

note P/w is a unit in $\Lambda^{\frac{1}{p}} \Rightarrow C^\circ(\mathbb{Z}_p, \Lambda^{(\mathcal{E}), \geq \frac{1}{p}})^{\text{mod}} \& C^\circ(\mathbb{Z}_p, \Lambda^{(\mathcal{E}), \leq \frac{1}{p}})^{\text{mod}}$

Coincide on $\Lambda^{(\mathcal{E}), \frac{1}{p}}$.

They glue to a Banach subsheaf of $C(\mathbb{Z}_p, \Lambda_{\mathbb{I}}^{(P)})$

$$\mathcal{O}[\![w]\!]^{(\mathcal{E})}$$

$$w^{[M/p]} \binom{p}{n} \Big|_{\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in M_1} = \sum_{m \geq 0} P_{m,n} w^{[M/p]} \binom{p}{m}.$$

Fact If $p|\alpha, (p|\delta, p|\delta)$, then $P_{m,n} \in W^{\frac{m+n}{p}} \Lambda^{\frac{1}{p}}$.

Similar for $p \nmid \alpha$ $\Rightarrow U_p$ is compact on this Banach subsheaf!

Can define $C_H^{(\varepsilon)}(w, t)$ as an element of $\mathbb{G}[\mathbb{Z}, t]$.

(Stronger than Coleman-Mazur.)

From now on, assume $b=0$ ($\Rightarrow \begin{pmatrix} p & 0 \\ 0 & p \end{pmatrix}$ acts trivially on \tilde{H} .)

$$\bar{T} \cdot \begin{pmatrix} \Delta & 0 \\ 0 & \Delta \end{pmatrix} \in \mathbb{G}[\mathbb{Z}, t].$$

$$\hookrightarrow \tilde{H} \cong \bigoplus_{i=1}^r e_i \mathbb{O} \otimes_{\chi_i: \mathbb{G}[\mathbb{Z}]} \mathbb{G}[\mathbb{Z}, t], \quad r = \text{rank}_{\mathbb{Z}} \tilde{H}.$$

noetherian local ring

Since \tilde{H} is primitive,

$$\text{Proj}_{\mathbb{Z}, 0} \cong \bigoplus_{i=1}^2 e_i \mathbb{O} \otimes_{\chi_i} \mathbb{F}(\mathbb{B}).$$

Fact $\chi_1 = 1 \times \omega^a, \chi_2 = \omega^a \times 1$. (Possibly, will exchange χ_1 & χ_2 .)

Lemma We may replace e_2 by $e'_2 = e_1 \begin{pmatrix} 0 & 1 \\ p & 0 \end{pmatrix}$ ($\Rightarrow e_1 = e'_2 \begin{pmatrix} 0 & 1 \\ p & 0 \end{pmatrix}$).

Proof If e_1 has \bar{T} -char $1 \times \omega^a$, then e'_2 has \bar{T} -char $\omega^a \times 1$. \square

\hookrightarrow From now on, assume $e_2 = e_1 \begin{pmatrix} 0 & 1 \\ p & 0 \end{pmatrix}$.

$$\begin{aligned} S_H^{\dagger, (\varepsilon)} &= \text{Hom}_{\mathbb{Z}, p}(\tilde{H}, \Lambda^{(\varepsilon), \leq 1/p} \langle \mathbb{Z} \rangle \otimes \mathcal{E}), \quad \mathcal{E} = \omega^{-\mathbb{Z}\varepsilon} \times \omega^{a+\mathbb{Z}\varepsilon}, \quad \varepsilon \in \{0, \dots, p-2\}, \\ &\cong e_1^* (\Lambda^{(\varepsilon), \leq 1/p} \langle \mathbb{Z} \rangle \otimes \mathcal{E})^{\bar{T}=1 \times \omega^a} \oplus e_2^* (\Lambda^{(\varepsilon), \leq 1/p} \langle \mathbb{Z} \rangle \otimes \mathcal{E})^{\bar{T}=\omega^a \times 1}. \end{aligned}$$

$$\mapsto \equiv a + \mathbb{Z}\varepsilon \pmod{p-1}$$

$$\text{Prop } \{e_1^* \cdot \mathbb{Z}^{\varepsilon+(p-1)i}\}_{i \geq 0} \cup \{e_2^* \cdot \mathbb{Z}^{a+\varepsilon+(p-1)i}\}_{i \geq 0}$$

is a basis of $S_H^{\dagger, (\varepsilon)}$ as a Banach module over $\Lambda^{\leq 1/p}$.

or of $S_{H, k}^{\dagger}(\mathcal{E}(1 \times \omega^{2k}))$ as a Banach \mathbb{O} -mod.

Moreover, terms whose (powers in \mathbb{Z}) $\leq k-2$ form an \mathbb{O} -basis of $S_k^{\text{Inv}}(\mathcal{E}(1 \times \omega^{2k}))$.

Proof. z^j has \bar{T} -char $\omega^j \times \omega^{-j}$

$$\cdot (j - \delta_\varepsilon, j + \alpha + \delta_\varepsilon) = (a, a) \text{ or } (a, 0) \left. \vphantom{\cdot} \right\} \pmod{p-1}. \quad \square$$

$$\Rightarrow j \equiv \delta_\varepsilon \text{ or } j \equiv \{\alpha + \delta_\varepsilon\}$$

$B^{(\varepsilon)}$ or $B_k^{(\varepsilon)} \hookrightarrow U_p$

Remark $U_{(k)}^{t, (\varepsilon)}$ = matrix of U_p w.r.t. $B_k^{(\varepsilon)} \rightsquigarrow C_{\mathbb{H}}^{(\varepsilon)}(w, t) = \text{char}(1 - U_p \cdot ?)$

$$\varepsilon'(1, 2-k)$$

Prop (Theta map) $\varepsilon'' = \varepsilon(\omega^{k-1} \times \omega^{-k})$, $\gamma = \varepsilon(1 \times \omega^{2-k})$, $\psi = \varepsilon'(1 \times \omega^k)$.

(1) \exists short exact sequence

$$0 \rightarrow \begin{array}{c} U_p \\ \curvearrowright \\ S_k^{Int}(\gamma) \end{array} \rightarrow \begin{array}{c} U_p \\ \curvearrowright \\ S_k^+(\gamma) \end{array} \xrightarrow{\left(\frac{d}{dz}\right)^{k-1} \circ (-)} \begin{array}{c} p^{k-1} U_p \\ \curvearrowright \\ S_{2-k}^+(\gamma) \end{array} \quad (\text{not surj})$$

$$\underbrace{\left(\frac{d}{dz}\right)^{k-1} \circ \varphi(x) := \left(\frac{d}{dz}\right)^{k-1} (\varphi(x))}_{\text{equivariant w.r.t. } U_p\text{-action}} \quad \uparrow \quad \downarrow \quad \text{p}^{k-1}\text{-equivariant here}$$

(2) $C^{(\varepsilon)}(w_k, t) = C^{(\varepsilon)}(w_{2k}, p^{k-1}t) = \text{char}(U_p, S_k^{Int}(\gamma))$.

(3) All finite U_p -slopes that are $< k-1$ belong to $S_k^{Int}(\gamma)$.

Proof (1) By direct computation.

(2) $\cdot \ker\left(\left(\frac{d}{dz}\right)^{k-1} \circ (-)\right)$ is spanned by $B_k^{(\varepsilon)}$

$$\rightsquigarrow \left(\frac{d}{dz}\right)^{k-1} (e_i \cdot z^j) = \underbrace{j \cdot (j-1) \cdots (j-k+2)}_{\text{"integrating is not integral"}} e_i \cdot z^{j-k}$$

$$\cdot U_k^{t, (\varepsilon)} = \begin{pmatrix} U_k^{Int} & 0 \\ 0 & p^{k-1} D^{-1} U_{2-k}^{t, (\varepsilon)} D \end{pmatrix}$$

$D =$ diagonal matrix given by the coefficients $j(j-1)\cdots(j-k+2)$.

\Rightarrow (2).

(3) U_p -slopes of $S_{2-k}^+(\gamma)$ is nonnegative

\Rightarrow if U_p -slope of f is $< k-1$, then $\left(\frac{d}{dz}\right)^{k-1} (f) = 0$.

Prop (Atkin-Lehner involution). $\psi = \psi_1 \times \psi_2$, $\psi^s = \psi_2 \times \psi_1$ chars of Δ^2 .
 $\varepsilon'' = \varepsilon \cdot \psi^s \cdot \psi^{-1}$.

(1) \exists natural morphism

$$AL(k, \psi) : S_k^{\text{In}}(\psi) \longrightarrow S_k^{\text{In}}(\psi^s)$$

$$\psi \longmapsto (x \mapsto \varphi(x \begin{pmatrix} 0 & p^{-1} \\ 1 & 0 \end{pmatrix})) \Big|_{\begin{pmatrix} 0 & 1 \\ p & 0 \end{pmatrix}}.$$

(2) $\forall j \geq 0$, $l = 1, \dots, d_k^{\text{In}}(\psi^s)$, $i = 1, 2$

$$AL(k, \psi)(e_i^* z^j) = p^{k-2-j} e_{3-i}^* z^{k-2-j}$$

$$(\Rightarrow AL(k, \psi^s) \circ AL(k, \psi) = p^{k-2}.)$$

(3) When $\psi_1 \neq \psi_2$ ($\Leftrightarrow k \not\equiv k_\varepsilon \pmod{p-1}$), we have

$$U_p \circ AL(k, \psi) \circ U_p = p^{k-1} \cdot AL(k, \psi).$$

In this case, can pair U_p -slopes on $S_k^{\text{In}}(\psi)$ & $S_k^{\text{In}}(\psi^s)$

s.t. each pair adds up to $k-1$.

Prob If $\psi_1 \neq \psi_2$, then (k, ψ) , (k, ψ^s) are often on different wt disks.

Some Ping-Pong type phenomenon:

$$(k, \psi) \rightsquigarrow (k', \psi') \rightsquigarrow (k, \psi).$$

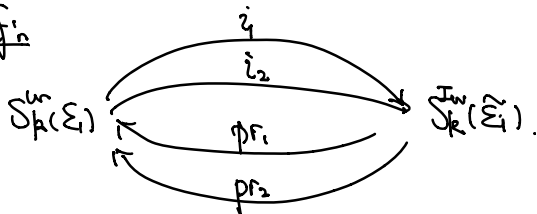


From now on, assume $k \equiv k_\varepsilon$.

Then Atkin-Lehner $\rightsquigarrow S_k^{\text{In}}(\tilde{\varepsilon}_1) \longrightarrow S_k^{\text{In}}(\tilde{\varepsilon}_2)$ ($\varepsilon = \varepsilon_1 \sim \varepsilon_2$).

Fix $\eta : \Delta \rightarrow \mathbb{G}^*$, $\tilde{\eta} := \eta \times \eta : \Delta^2 \rightarrow \mathbb{G}^*$.

Defn



$$i_1(\varphi) = \varphi, \quad i_2(\varphi) = AL(\varphi)$$

$$pr_1(\varphi)(x) = \sum_{j=0, \dots, p-1} \varphi(x u_j) \Big|_{u_j^{-1}}$$

$$pr_2(\varphi)(x) = \sum_{j=0, \dots, p-1} \varphi(x \begin{pmatrix} 0 & p^{-1} \\ 1 & 0 \end{pmatrix} u_j) \Big|_{\begin{pmatrix} 0 & 1 \\ p & 0 \end{pmatrix}^{-1}}$$

$$pr_1(AL(\varphi))(x).$$

Prop $U_p(\varphi) = i_2(\text{pr}_1(\varphi)) - AL(\varphi), \varphi \in S_k^{\text{Iw}}(\tilde{E})$
 $= AL(\text{pr}_1(\varphi)) - AL(\varphi).$

Put $U_k^{\text{Iw}} =$ matrix of U_p w.r.t. $B_k^{(E)}$
 $L_k^{\text{cl}} =$ matrix of AL w.r.t. $B_k^{(E)}$.

Prop (1) L_k^{cl} is anti-diag with entries $p^{\deg e_1^{(E)}}, p^{\deg e_2^{(E)}}, \dots$
 (where $e_1^{(E)}, e_2^{(E)}, \dots$ is the power basis indexed by exponents of z)
 from upper right to lower left.

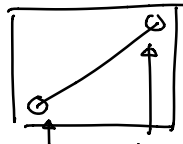
(2) $U_k^{\text{Iw}} = -L_k^{\text{cl}} + \square$, where \square has $\text{rk} \leq d_k^{\text{ur}}(E_1)$.
 size $(d_k^{\text{Iw}} \times d_k^{\text{Iw}})$

Proof (2) $\square = U_k^{\text{Iw}} + L_k^{\text{cl}}$ is the matrix corresponds to $\varphi \mapsto L_2(\text{pr}_1(\varphi)).$ □

Consider $d_k^{\text{new}}(E_1) = d_k^{\text{Iw}}(E_1) - 2d_k^{\text{ur}}(E_1).$

Cor The multiplicities of $\pm p^{(k-2)/2}$ as eigenvals of U_p on $S_k^{\text{Iw}}(\tilde{E})$
 are at least $\frac{1}{2} d_k^{\text{new}}(E_1)$ each.

Proof $U_k^{\text{Iw}} \pm p^{\frac{k-2}{2}} I = - \underbrace{(L_k^{\text{cl}} \pm p^{\frac{k-2}{2}} I)}_{\text{rank } \frac{1}{2} d_k^{\text{Iw}}} + \underbrace{\square}_{\text{rank} \leq d_k^{\text{ur}}}$



L_k^{cl} has eigenvals $\pm p^{\frac{k-2}{2}}$ each with multiplicity $\frac{1}{2} d_k^{\text{Iw}}$. two entries for eigenvals.

$\Rightarrow \text{rank } U_k^{\text{Iw}} \pm p^{\frac{k-2}{2}} I \leq d_k^{\text{ur}} + \frac{1}{2} d_k^{\text{Iw}}$

$\Rightarrow \text{corank } U_k^{\text{Iw}} \pm p^{\frac{k-2}{2}} I \geq \frac{1}{2} d_k^{\text{Iw}} - d_k^{\text{ur}} = \frac{1}{2} d_k^{\text{new}}.$ □

Cor (Weak corank thm)

Write $U^{\dagger, (E)}(\underline{n}) \in M_n(\mathbb{O} \langle \frac{W}{P} \rangle)$ for the upper-left $n \times n$ -submatrix of $U^{\dagger, (E)}$.

Then $p^{-\deg g_n^{(E)}} | \det(U^{\dagger, (E)}(\underline{n})) \in \mathbb{O} \langle \frac{W}{P} \rangle.$

Proof Need to show: $\forall k \equiv k_E \pmod{p-1}$ s.t. $m_n(k) > 0$,

$$\left(\frac{w}{p} - \frac{wk}{p}\right)^{m_n(k)} \mid \det(U^\dagger(\Omega)).$$

(note: coeffs $\in \mathbb{Q}(\frac{w}{p})$ \Rightarrow need to divide each ghost factor by p).

\Leftarrow (eval $U^\dagger(\Omega)$ at $w = wk$) = $U_k^\dagger(\Omega)$ has corank $\geq m_n(k)$.

Indeed, for $L_k^{cl}(\Omega) :=$ upper left $n \times n$ -submat of L_k^d ,

Prop (1)(2) above \Rightarrow $\text{rank}(U_k^\dagger(\Omega)) = d_k^{ur} + \text{rank } L_k^{cl}(\Omega)$

$$= \begin{cases} d_k^{ur}, & n \leq \frac{1}{2} d_k^{Iw}, \\ d_k^{ur} + 2(n - \frac{1}{2} d_k^{Iw}), & n \geq \frac{1}{2} d_k^{Iw}. \end{cases}$$

$$\Rightarrow \text{corank } U_k^\dagger(\Omega) \geq \begin{cases} n - d_k^{ur} & \text{if } n \leq \frac{1}{2} d_k^{Iw}. \\ d_k^{Iw} - d_k^{ur} - n & \text{if } n \geq \frac{1}{2} d_k^{Iw}. \end{cases}$$

$$\Rightarrow \text{corank } U_k^\dagger(\Omega) \geq m_n(k). \quad \square$$