

§8 Fundamental exact seq

Last time p -adic period rings B_{dR}^+ , B_{max}^+ , B_{st}^+

will consider $t = \log[\zeta] \in A_{\text{max}} \subset B_{\text{max}}^+$

$$B_{\text{dR}} := B_{\text{dR}}^+ \left[\frac{1}{t} \right], \quad B_{\text{max}} := B_{\text{max}}^+ \left[\frac{1}{t} \right], \quad B_{\text{st}} := B_{\text{st}}^+ \left[\frac{1}{t} \right]$$

Goal \exists exact seq.'s

$$0 \rightarrow B_{\text{max}} \rightarrow B_{\text{st}} \xrightarrow{N} B_{\text{st}} \rightarrow 0. \quad (\text{SEF 1E})$$

$$0 \rightarrow B_{\text{max}}^{p=1} \rightarrow B_{\text{max}} \xrightarrow{p-1} B_{\text{max}} \rightarrow 0 \quad (\text{SEF 2E})$$

$$0 \rightarrow \mathbb{Q}_p \rightarrow B_{\text{max}}^{p=1} \rightarrow B_{\text{dR}} / B_{\text{dR}}^+ \rightarrow 0. \quad (\text{SEF 3E})$$

Along the way, will show

$$\text{Prop (1)} \dim (B_{\text{max}}^+)^{p^s} = (s, 1)$$

$$(2) \dim (B_s) = (s, 0)$$

$$\hookrightarrow A_{\text{inf}} \left[\frac{1}{p} \right] / (\text{ker } \theta)^s$$

Rank: Gomez considered more gen'l coeff. E/\mathbb{Q}_p finite.

• \wedge will denote a sympathetic obj.

II $\varphi = 1$ pts.

$R = \bigcup_{p \in \Lambda} A/pA$, $\varphi: R \rightarrow R$, $x \mapsto x^p$ $x \in R(\Lambda)$.

$A_{\text{inf}} = W(R)$

$\rightsquigarrow \varphi: A_{\text{inf}} \rightarrow A_{\text{inf}}$

$$\varphi\left(\sum_{n=0}^{\infty} p^n[x_n]\right) = \sum_{n=0}^{\infty} p^n[x_n^p], \quad x_n \in R(\Lambda).$$

Lem 1 (1) $R^{p=1} = \mathbb{F}_p$.

(2) $A_{\text{inf}}^{p=1} = \mathbb{Z}_p$.

pf) (2) follows from (1)

(1): Let $x = (x^{(n)}) \in R(\Lambda)$ s.t. $\varphi(x) = x^p = x$
 $\Rightarrow (x^{(n)})^p = x^{(n)} \in \mathcal{O}_{\Lambda}$.

Fact (exc) Λ conn. \Rightarrow any poly. $\geq \deg 2 / C$ has all roots in C .

$\Rightarrow x^{(n)} = [a] \in W(\mathbb{F}_p)$ for some $a \in \mathbb{F}_p$.

□

I = $\theta^{-1}(pA) = (p, [\tilde{p}]) \subset A_{\text{inf}}$

stable under φ .

$A_{\text{max}} \supset \varphi$.

$(=(A_{\text{inf}}[\frac{I}{p}])^\wedge)$

$$\underline{\text{Prop 1}} \quad (\mathbb{A}_{\max})^{\varphi^{-1}} = \mathbb{Z}_p$$

p.f) Let $x \in \mathbb{A}_{\max}(\Lambda)$ s.t. $\varphi(x) = x$

$$x = \sum_{n=0}^{\infty} b_n \left(\frac{[\zeta_p]}{p} \right)^n, \quad b_n \in \mathbb{A}_{\inf}(\Lambda), \quad b_n \rightarrow 0$$

$$\forall k \geq 1, \quad x = \varphi^k(x) = \sum_{n=0}^{\infty} \varphi^k(b_n) p^{n(p^{k-1})} \left(\frac{[\zeta_p]}{p} \right)^n$$

$$\Rightarrow x - \varphi^k(b_0) \in p^{k-1} \mathbb{A}_{\max}(\Lambda)$$

$$\begin{array}{ccc} \mathbb{A}_{\inf}(\Lambda) & \subset & \mathbb{A}_{\max}(\Lambda) \\ \downarrow & \text{closed} & \downarrow \\ (\mathbb{P}, [\zeta_p])-\text{adic} & & \mathbb{P}-\text{adic} \end{array} \Rightarrow x \in \mathbb{A}_{\inf}(\Lambda)$$

Appb Lem 1



[2] Elements } & w.

$$h(x) := (x+1)^p - 1. \quad \text{For } n \geq 1, \quad h^{[n]} := \underbrace{h \circ \cdots \circ h}_{n \text{ fold}}$$

For $x \in \mathbb{R}$, denote $\{x\} := [x+1] - 1$.

We have $\varphi(\{x\}) = h(\{x\})$

Choose $\varepsilon = (1, \varepsilon_1, \varepsilon_2, \dots)$, $\varepsilon_n \in \mathcal{O}_c$, $\varepsilon_i \neq 1$, $\varepsilon_{n+1}^p = \varepsilon_n$.

$$v_n := \varepsilon_{n+1} - 1, \quad h(u_{n+1}) = v_n \quad U_p(v_n) = \frac{1}{(p-1)p^n}$$

$$\bar{u}_n \in \mathcal{O}_c/p, \quad u = (\bar{u}_n) \in \mathbb{R}$$

$$U_R(u) = \lim_{n \rightarrow \infty} p^n U_p(u_n) = \frac{1}{p-1}.$$

$$w_n := \varphi^{t^{-n}}(\{u\}) = \varphi^{t^{-n}}([u+1]_1)$$

$$\text{Def } w := w_0 = [\varepsilon] - 1$$

$$\cdot \quad \bar{\zeta} := \frac{w_0}{w_1} = 1 + [\varepsilon^{\frac{1}{p}}] + \dots + [\varepsilon^{\frac{p-1}{p}}]$$

$$\mathbb{J} = \ker(\Theta) \subset A_{\text{inf}}$$

$$\mathbb{J}^{[\infty]} := \bigcap_{i=0}^{\infty} \varphi^{-i}(\mathbb{J}) \subset A_{\text{inf}}.$$

$$\mathbb{J}^{[n]}(\lambda) = \{x \in A_{\text{inf}}(\lambda) \mid \Theta(\varphi^i(x)) = 0 \quad \forall i \leq n\}$$

$$\text{Prop 2 (1)} \quad \mathbb{J}^{[n]} = \left(\frac{w}{w_{n+1}} \right). \quad \text{In part., } \mathbb{J} = (\bar{\zeta}).$$

$$(2) \quad \mathbb{J}^{[\infty]} = (w)$$

$$\text{pf)} \quad \Theta(\bar{\zeta}) = 1 + \varepsilon_1 + \dots + \varepsilon_{p-1}^{p-1} = 0.$$

$$\bar{\zeta} = u^{p-1} \in \mathbb{R} \Rightarrow U_R(\bar{\zeta}) = 1 \Rightarrow \mathbb{J} = (\bar{\zeta})$$

(1) Induct on n .

Let $x \in \mathbb{J}^{[n+1]}(\Lambda)$. $\exists z_n \in A_{\text{inf}}(\Lambda)$ s.t.

$$x = \frac{w}{w_{n+1}} z_n \quad \varphi^{n+1}(x) = \frac{\varphi^{n+1}(w)}{w} \varphi^{n+1}(z_n).$$

$$\Theta\left(\frac{\varphi^{n+1}(w)}{w}\right) = p^{n+1} \neq 0 \Rightarrow \Theta(\varphi^{n+1}(z_n)) = 0$$

$$\Rightarrow \varphi^{n+1}(z_n) \in \frac{w}{w_1} A_{\text{inf}}(\Lambda) \Rightarrow \varphi^{n+1}(z_n) = \frac{w}{w_1} \varphi^{n+1}(z_{n+1})$$

for some $z_{n+1} \in A_{\text{inf}}(\Lambda)$.

$$\Rightarrow x = \frac{w}{w_{n+1}} \cdot \frac{w_{n+1}}{w_{n+2}} z_{n+1}$$

(2) $w \in \mathbb{J}^{[\infty]}$

Claim $w A_{\text{inf}}(\Lambda) \hookrightarrow \mathbb{J}^{[\infty]}$ is surj.

Since both are p -adic. compl., suffices to check
mod p .

Let $x \in \mathbb{J}^{[\infty]}(\Lambda) = \bigcap_{n=1}^{\infty} \mathbb{J}^{[n]}(\Lambda)$, $\bar{x} \in R(\Lambda)$

$$U_R(\bar{x}) \geq U_R\left(\frac{\bar{w}}{w_n}\right) = \left(1 - \frac{1}{p^n}\right) U_R(\bar{w}), \forall n.$$

$$\Rightarrow U_R(\bar{x}) \geq U_R(\bar{w})$$

$$\Rightarrow \exists \bar{y} \in \mathbb{R}(n) \quad \text{s.t.} \quad \bar{x} = \bar{w}\bar{y}$$

$$z := x - w[\bar{y}] \in \overline{\mathbb{J}}^{[n]} \cap p/\text{Aut} = p\mathbb{J}^{[n]} \quad \forall n$$

$$\Rightarrow z \in p\mathbb{J}^{[\infty]}$$

□

[3] Element t

$$\text{For } k \geq 1, \quad l_p(k) := \lfloor \log_p k \rfloor.$$

$$\log(1+x) = x - \frac{x^2}{2} + \frac{x^3}{3} - \dots \in \mathbb{Q}_p[[x]]$$

$$v_p(\frac{1}{k}) \geq -l_p(k) \cdot \frac{1}{p-1}, \quad F(x) := \log(1+x)$$

$$F \circ h = pF.$$

$$\underline{\text{Def}} \quad t := F(w) = \log(1+w). \quad (= \log[\Sigma])$$

Prop 3(a) $t \in A_{\max}, \quad e(t) = pt \quad \& \quad \frac{t}{w} \text{ is a unit}$

In A_{\max}

$$(2) \quad \mathbb{J}_{\max}^{[\infty]} := \{ x \in \mathbb{B}_{\max}^+ \mid \Theta(\varphi^*(x)) = 0 \quad \forall n \}$$

$$= t \mathbb{B}_{\max}^+$$

$$\text{pf)} \quad (1) \quad \varphi(t) = \varphi(F(w)) = F(\varphi(w)) = F(h(w)) \\ = p F(w) = pt$$

$$\frac{t}{w} = 1 + \sum_{k=2}^{\infty} \frac{(-1)^{k-1}}{k} w^{k-1} \in 1 + p A_{\max}$$

$$(R \geq 2 : \begin{cases} p \neq 2 & : l_p(R) \leq R-2 \quad \& w \in J \\ p = 2 & : l_p(R) \leq R-1 \quad \underline{\text{exc}} \quad w \in I^2 \end{cases})$$

$$(2) \quad \underline{\text{claim}} \quad J_{\max}^{[\infty]} = w B_{\max}^T$$

Let $x \in J_{\max}^{[0]}(1)$. Since $\Theta(x) = 0$,

$$x = \sum_{n=1}^{\infty} a_n \left(\frac{z}{p} \right)^n = \frac{z}{p} \left(\sum_{n=1}^{\infty} a_n \left(\frac{z}{p} \right)^{n-1} \right)$$

for some $a_n \in A_{\text{inf}}(\lambda)[\frac{1}{p}]$, $a_n \rightarrow 0$

$$\text{Set } Q_n(x) = \frac{1}{x} ((1+x)^n - 1) \quad \text{for } n \geq 1$$

$$C\left(\frac{3}{p}\right) = \frac{1}{p} Q_p(w) = 1 + w A(w)$$

$$Q(x) = \varphi\left(\frac{x}{\mu}\right) \left(\sum_{n=1}^{\infty} \varphi(d_n) + w \varphi(y) \right) \quad \text{where}$$

$$y = A(w_1) \left(\sum_{n=2}^{\infty} d_n Q_{n-1} \left(\underbrace{\frac{1}{p} Q_p(w_1)}_{\text{if } p=1} - 1 \right) \right) \in B_{\max}^+(A)$$

$$A_{\max} \stackrel{(\dagger)}{\sim} b/c \quad w_1^{p-1} \in I \quad (\underline{Ex}).$$

$$\theta(\varphi^k(x)) = 0 \quad \forall k$$

$$\Rightarrow \theta\left(\varphi^k\left(\sum_{n=1}^{\infty} dn\right)\right) = 0 \quad \forall k \geq 1$$

$$\therefore \theta(\varphi^{k-1}(w)) = 0 \quad \forall k \geq 1$$

Prop 2(2) $\Rightarrow \varphi\left(\sum_{n=1}^{\infty} dn\right) = wb \quad \text{for some}$

$$b \in A_{\text{inf}}(\Lambda) [\frac{1}{p}]$$

$$\Rightarrow x = \frac{3w}{p} (y + \varphi^{-1}(b)) = \frac{w}{p} (y + \varphi^{-1}(b))$$

□

4 Vector Sp. Us

$$R(\Lambda)^{**} = \{ x \in R(\Lambda) \mid \|x - 0\|_R < 1 \}$$

Lem 2 For $x \in R(\Lambda)^{**}$, $\log[x] := \sum_{n=1}^{\infty} \frac{(-1)^{n-1}}{n} ([x] - 1)^n \in B_{\max}^+(\Lambda)$

Furthermore, $R(\Lambda)^{**} \rightarrow B_{\max}^+(\Lambda)$, $x \mapsto \log[x]$

is a gp hom., $\varphi(\log[x]) = p \log[x]$.

pf) Let $r \geq 1$ s.t. $\|[x] - 1\|_R \leq \frac{1}{p}$.

$$\Rightarrow ([x] - 1)^r \in I(\Lambda)$$

$$\log[x] = \sum_{k=1}^n ([x]-1)^k \left(\sum_{k=0}^{\infty} \underbrace{\frac{(-1)^{kr+i-1}}{pr+i}}_{\rightarrow 0 \text{ as } k \rightarrow \infty} p^k \left(\frac{([x]-1)^r}{p} \right)^k \right)$$

(III)

$\in B_{\max}^+(N)$.

Def $\mathbb{U}_s := (B_{\max}^+)^{\varphi=p^s}$. p -adic Banach sp.

Rmk $\mathbb{U}_0 = (B_{\max}^+)^{\varphi=1} = \mathbb{Q}_p$ (Prop 1)

Prop 4 \mathbb{U}_1 is Vec. sp. of dim $O_{1,1}$ having present'n
 $0 \rightarrow \mathbb{Q}_p \cdot t \rightarrow \mathbb{U}_1 \xrightarrow{\Theta} V' \rightarrow 0$

pf) For $x \in R(N)^{**}$, $\Theta(\log[x]) = \log x^{(0)}$

$\Rightarrow \Theta(t) = \log 1 = 0 \quad \& \quad t \in \mathbb{U}_1 \cap \ker \Theta$

Let $x \in \mathbb{U}_1(N)$ s.t. $\Theta(x) = 0$

$\Rightarrow \Theta(\varphi^k(x)) = \Theta(p^k x) = 0 \quad \forall k \geq 1$

Prop 3(2) $\Rightarrow x = ty$ for some

$y \in (B_{\max}^+)^{\varphi=1}(N) = \mathbb{Q}_p$

Let $\lambda \in V'(N) = N$. Let $n \geq 0$ s.t. $p^n \lambda \in \mathbb{P}^1 O_N$

$\Rightarrow e^{p^n \lambda} \in 1 + p \mathbb{O}_N \subset O_N^{**}$

\wedge p -closed $\Rightarrow \exists x = (x^{(n)}) \in R(\Lambda)^{**}$ s.t.
 $x^{(0)} = e^{p^n \lambda}$.

$$[\log(x)] \in U_1(\Lambda) \text{ & } \Theta([\log(x)]) = [\log x^{(0)}] = p^n \lambda.$$

□

Lem 3 Let $y \in U_s(\Lambda)$ s.t. $\Theta(y) = 0$.

Then $\exists ! x \in U_{s-1}(\Lambda)$ s.t. $y = tx$.

pf) Follows by similar argument as in pf of Prop 4

Note $t \in B_{\max}^+$ is non-zero divisor by:

Fact (1) $B_{\max}^+ \rightarrow B_{dR}^+$ injective

(2) $t \in B_{dR}^+$ is uniformizer.

□

Prop 5 Let $u \in U_1(C)$ be a lift of $1 \in C$ along Θ . We have SES

$$0 \rightarrow \mathbb{Q}_p \xrightarrow{x(U^s, t)} U_{s-1} \oplus U_1 \xrightarrow{(\alpha, y) \mapsto t\alpha - U^s y} U_s \rightarrow 0$$

pf) Let $(\alpha, y) \in U_{s-1}(\Lambda) \oplus U_1(\Lambda)$ s.t. $U^s y = t\alpha$.

$\Theta(y) = 0 \Rightarrow \exists ! \alpha \in U_s(\Lambda) = \mathbb{Q}_p$ s.t. $y = t\alpha$
(Lem 3)

$\Rightarrow x = U^{s-1} \alpha$, so exactness in the middle.

Let $z \in \mathbb{U}_s(\Lambda)$, Prop 4 $\Rightarrow \exists y \in \mathbb{U}_s(\Lambda)$

s.t. $\Theta(y) = -\Theta(z)$

$z' := z + U^{s_1}y \in \mathbb{U}_s(\Lambda)$. $\Theta(z') = 0$.

$\Rightarrow \exists! x \in \mathbb{U}_{s+1}(\Lambda)$ s.t. $z' = tx$ (Lem 3)

$\Rightarrow z = tx - U^{s_1}y$

(ii)

Gr 1 \mathbb{U}_s is Vec. sp. of $\dim(s, 1)$

pf) Induct on s $s=1$: Prop 4

$\mathbb{U}_{s+1} \oplus \mathbb{U}_1$: $\dim(s, 2)$.

\mathbb{Q}_p : $\dim(0, 1)$.

$\Rightarrow \mathbb{U}_s$ has $\dim(s, 2-1) = (s, 1)$. by Prop 5

Fact Let $0 \rightarrow A \rightarrow W_1 \rightarrow W_2 \rightarrow 0$ be

SES of Vec. Sp's, where A is fin.

$\dim(\mathbb{Q}_p - \text{vs.}) \& \dim W_1 = (d, \alpha)$

Then $\dim W_2 = (d, \alpha - \dim_{\mathbb{Q}_p} A)$.

Rank For $\mathbb{U}_{h,s} := (\mathbb{B}_{max}^+)^{q^h} = p^s$, can show $\deg h$

$\dim \mathbb{U}_{h,s} = (s, h)$ by considering E/\mathbb{Q}_p unram.

5 Vector sp. B_s

$$B_s = A_{\text{inf}}[\frac{1}{p}] / (\ker \theta)^s.$$

Prop 6 Nat'l map $B_{\text{max}}^t \rightarrow B_{\text{sr}}^t$ induces SES of

Top. vec. sp's

$$0 \rightarrow Q_p \xrightarrow{x t^s} B_s \rightarrow B_s \rightarrow 0$$

pf) Induct on s $s=1$: Prop 4

Consider commutative diagram

$$\begin{array}{ccccccc} & & 0 & & 0 & & \\ & & \downarrow & & \downarrow & & \\ 0 \rightarrow Q_p & \xrightarrow{x t^s} & B_s & \rightarrow & B_s & \rightarrow & 0 \\ \parallel & & \downarrow x t & & \downarrow x t & & \\ 0 \rightarrow Q_p & \xrightarrow{x t^{s+1}} & B_{s+1} & \rightarrow & B_{s+1} & \rightarrow & 0 \\ & & \downarrow & & \downarrow & & \\ & & \mathbb{V}' & = & \mathbb{V}' & & \\ & & \downarrow & & \downarrow & & \\ & & 0 & & 0 & & \end{array}$$

Claim col's are exact.

• $\cup_{s+1} \rightarrow W^1$ surj. :

Let $\lambda \in W^1(\lambda)$.

$\exists x, y \in \cup_s(\lambda)$ s.t. $\theta(x) = 1, \theta(y) = \lambda$ (Prop 4)

$\Rightarrow \theta(x^sy) = \lambda$ & $x^sy \in \cup_{s+1}(\lambda)$.

+ Similar argument as in pf of prop 4

\Rightarrow left col. is exact.

$t \in B_{sk}^+$ is a uniformizer

\Rightarrow right col. is exact.

Exc exactness of 1st row & 2 col's

\Rightarrow 2nd row is exact.

Cor 2 $\dim B_s = (s, 0)$

④

pf) prop 6 + $\dim \cup_s = (s, 1)$, (Cor 1)

④

6 Fund. exact seq.

$$B_{dR} := B_{dR}^+ [\frac{1}{t}], \quad B_{\max} = \bigcup_{\varphi} B_{\max}^+ [\frac{1}{t}], \quad B_{st} = B_{st}^+ [\frac{1}{t}]$$

Then we have SES's

$$0 \rightarrow B_{\max} \rightarrow B_{st} \xrightarrow{N} B_{st} \rightarrow 0 \quad ①$$

$$0 \rightarrow B_{\max}^{q=1} \rightarrow B_{\max} \xrightarrow{q-1} B_{\max} \rightarrow 0 \quad ②$$

$$0 \rightarrow Q_p \rightarrow B_{\max}^{q=1} \rightarrow B_{dR} / B_{dR}^+ \rightarrow 0 \quad ③,$$

pf) ① : follows from $B_{st}^+ = B_{\max}^+ [u]$ where

N is $B_{\max}^+ - \text{lin. derivation} - \frac{d}{du}$.

$$③ : B_{\max}^{q=1} = \bigcup_{n=1}^{\infty} (t^{-n} B_{\max}^+)^{q=1}$$

Prop 6 \Rightarrow exact seq.

$$\begin{aligned} 0 \rightarrow Q_p \rightarrow (t^{-n} B_{\max}^+)^{q=1} &\rightarrow t^{-n} B_{dR}^+ / B_{dR}^+ \rightarrow 0 \\ \lim_{n \rightarrow \infty} &\Rightarrow ③ \end{aligned}$$

② : Need to show $q-1 : B_{\max} \rightarrow B_{\max}$ is surj.

For $x, y \in B_{\max}^+$, we have

$$(1 - \varphi) \left(\frac{x}{p^i} \right) = \frac{y}{p^i} \Leftrightarrow (1 - \frac{\varphi}{p^i})(x) = y.$$

Claim $1 - \frac{\varphi}{p^i} : B_{\max}^+ \rightarrow B_{\max}^+$ is surj.

$$y = \sum_{n=0}^{\infty} a_n \left(\frac{[x]_p}{p} \right)^n, \quad a_n \in A_{\text{inf}}[\frac{1}{p}], \quad a_n \rightarrow 0.$$

WLOG assume $a_n \in A_{\text{inf}}$ $\forall n$.

Idea Consider 2 formal inverses of $1 - \frac{\varphi}{p^i}$:

$$\sum_{k=0}^{\infty} p^{-ki} \varphi^k \quad \& \quad - \sum_{k=1}^{\infty} p^{ki} \varphi^{-k}.$$

$$z_0 := - \sum_{k=1}^{\infty} p^{ki} \varphi^{-k} (a_0) \in A_{\text{inf}} \quad \&$$

$$(1 - p^{-i} \varphi)(z_0) = a_0$$

$$p^{-ki} \varphi^k \left(\left(\frac{[x]_p}{p} \right)^n \right) = p^{np^k - n - ki} \left(\frac{[x]_p}{p} \right)^{np^k}$$

$$\exists m(i) \text{ s.t. } np^k - n - ki \geq -m(i) \quad \forall n, k \geq 1$$

$$\& np^k - n - ki \rightarrow +\infty \text{ as } n+k \rightarrow +\infty.$$

$$\Rightarrow x_1 := \sum_{n=1}^{\infty} \sum_{k=0}^{\infty} \varphi^k(a_n) p^{np^k - n - pk} \left(\frac{[\alpha]}{p} \right)^{np^k} \in p^{-m(n)} A_{\max}$$

$$\& (1 - p^{-1}\varphi)(x_1) = y - a_0.$$

$$\Rightarrow (1 - p^{-1}\varphi)(x_0 + x_1) = y$$

(1)