

Notation:  $K$ : complete non-arch. field.  $\bar{K}$ : alg. closure.  
 $k$ : residue field

Remark. Since open disk is clopen,  $K$  is totally disconnected.

## 2.2. Res. power series.

Denote  $B^n(K) = \mathcal{O}_{\bar{K}}^n$ .

Lemma 1. A formal p.s.  $f = \sum c_{\nu} z_1^{\nu_1} \dots z_n^{\nu_n} \in K[[z_1, \dots, z_n]]$   
denote  $\nu = (\nu_1, \dots, \nu_n)$   
↓

converges on  $B^n(K) \Leftrightarrow \bigcup_{|\nu| \rightarrow \infty} |c_{\nu}| \rightarrow 0$

P.f. For  $\forall x \in B^n(K)$ ,  $f(x)$  converge.  $\Leftrightarrow |c_{\nu}| |x|^{\nu} \rightarrow 0$ .

$\therefore f$  conv. at any  $x \in B^n(K) \Leftrightarrow f$  conv. at  $(1, 1, \dots, 1)$

$\Leftrightarrow |c_{\nu}| \rightarrow 0$ .

Denote  $T_n = K\langle z_1, \dots, z_n \rangle := \left\{ \sum c_{\nu} z^{\nu} \in K[[z_1, \dots, z_n]] \mid \bigcup_{|\nu| \rightarrow \infty} |c_{\nu}| = 0 \right\}$ .

$= \left\{ f \in K[[z_1, \dots, z_n]] \mid f \text{ conv. on } B^n(K) \right\}$ .

$T_0 := K$ .

Gauss norm. for  $f \in T_n$ ,  $\|f\| := \max |c_{\nu}|$ . ( $f = \sum c_{\nu} z^{\nu}$ ).

Prop. 1.1 is a norm. i.e. (1)  $\|f\| = 0 \Leftrightarrow f = 0$ .

(2) for any  $c \in K$ ,  $\|cf\| = |c| \cdot \|f\|$  } trivial.

(3)  $\|fg\| = \|f\| \|g\|$ .

(4)  $\|f+g\| \leq \max\{\|f\|, \|g\|\}$ .

Pf. 13). WLOG Assume  $|f|=|g|=1$ .  $|fg| \leq 1$

Consider  $T_n \rightarrow k[\zeta_1, \dots, \zeta_n]$

$$f \rightarrow \bar{f}$$

$$\bar{f}g = \bar{f} \cdot \bar{g} \neq 0 \quad \therefore |fg| = 1.$$

$$(4). f = \sum c_\nu \zeta^\nu \quad g = \sum d_\nu \zeta^\nu$$

$$\max_\nu |c_\nu + d_\nu| \leq \max_\nu \{ |c_\nu|, |d_\nu| \} = \max\{|f|, |g|\}.$$

Prop. 3.  $T_n$  is complete w.r.t. 1.1.

P.f. Suppose  $\{f_n\}$  satisfies  $|f_n| \rightarrow 0$ . Need to prove  $\sum f_n \in T_n$ .

$$\text{Denote } f_n = \sum c_{n,\nu} \zeta^\nu \Leftrightarrow \begin{cases} \textcircled{1} c_\nu := \sum_n c_{n,\nu} \in k. \\ \textcircled{2} \lim_{|\nu| \rightarrow \infty} |c_\nu| = 0. \end{cases}$$

$$\textcircled{1} |c_{n,\nu}| \leq |f_n| \rightarrow 0.$$

$\textcircled{2}$  For any  $\varepsilon > 0$ ,  $\exists N$  s.t. when  $n > N$ ,  $|f_n| < \varepsilon$ .

For  $f_{n_1}, \dots, f_{n_r}$ ,  $\exists \nu'$  s.t. when  $\begin{cases} \nu > \nu' \\ 1 \leq i \leq r \end{cases}$   $|c_{i,\nu}| < \varepsilon$ .

$$\therefore \text{when } \nu > \nu', |c_\nu| = \left| \sum c_{n_i,\nu} \right| = \left| \sum_{i=1}^r c_{i,\nu} + \sum_{i>r} c_{i,\nu} \right| < \varepsilon.$$

Cor. 4.  $f \in T_n$  with  $|f|=1$  is a unit  $\Leftrightarrow \bar{f} \in k^*$ .

(In general,  $f$  is a unit  $\Leftrightarrow |f - f(\omega)| < |f(\omega)|$ .)  $\longleftarrow$  in  $k[\zeta_1, \dots, \zeta_n]$

P.f. " $\Rightarrow$ " If  $f \in T_n^*$ ,  $\exists g \in T_n^*$  s.t.  $fg=1$ .  $\therefore \bar{f} \cdot \bar{g} = 1$ .  $\therefore \bar{f} \in k^*$ .

" $\epsilon$ " denote  $f(x) = c$ .  $g = f - c$ .  $\therefore f = c + g$ .  $|g| < 1$ .  $\therefore f$  is invertible

$$c(1 + c^{-1}g)$$

Prop. 6. For  $f \in T_n$ , then for  $\forall x \in B^n(\mathbb{K})$ .  $|f(x)| \leq \|f\|$  and  $\exists x$  s.t.  $|f(x)| = \|f\|$

pf.  $|f(x)| \leq \|f\| \cup$ . For the second assume  $\|f\| = 1$ .

$\therefore \bar{f} \in k[\mathbb{Z}_1, \dots, \mathbb{Z}_n] \therefore \exists t \in \mathbb{K}^n$ , s.t.  $\bar{f}(t) \neq 0$ , pick any lift  $x$  of  $t$  ( $x \in B^n(\mathbb{K})$ ).  $|f(x)| = 1$ . ( $\bar{f}(x) = \bar{f}(t) \neq 0$ ).

Def. for  $g = \sum_{s=1}^{\infty} g_s \cdot \mathbb{Z}_n^s \in T_n$  with  $g_s \in T_{n-1}$  is called  $\mathbb{Z}_n$ -distinguished if  $\exists s$ .

s.t.

(i)  $g_s \in T_{n-1}^*$

(ii)  $|g| = |g_s|$  and for  $\nu > s$ ,  $|g_s| > |g_\nu|$ .

Lem. 7. Given  $\forall f_1, \dots, f_r \in T_n$ ,  $\exists$  cont. aut. (keep the norm).

$$\sigma: T_n \rightarrow T_n \quad \mathbb{Z}_i \rightarrow \begin{cases} \mathbb{Z}_i + \mathbb{Z}_n^{\alpha_i} & i < n \\ \mathbb{Z}_n & i = n \end{cases}$$

with suitable  $\alpha_i \in \mathbb{N}$  ( $1 \leq i \leq n-1$ ) s.t.  $\sigma(f_i)$  are dist. ( $1 \leq i \leq r$ ).

pf.  $\sigma^{-1}: \mathbb{Z}_i \rightarrow \mathbb{Z}_i - \mathbb{Z}_n^{\alpha_i}$  ( $i < n$ ).  $\therefore \sigma \in \text{Aut}(T_n)$ .

$\therefore |\sigma(f)| \leq \|f\|$ .  $|\sigma^{-1}(f)| \leq \|f\|$  ( $\forall f$ ).  $\therefore |\sigma(f)| \geq \|f\|$  ( $\forall f$ )  $\therefore \sigma$  cont.

Goal: find  $\alpha_i$  ( $1 \leq i \leq r$ ) s.t.  $\sigma(f_i)$  are dist.

Baby case: assume there is only one  $f$ .  $\|f\| = 1$ .

Denote  $f = \sum_{\nu \in N} c_\nu \mathbb{Z}_n^\nu$ ,  $\bar{f} = \sum_{\nu \in N} \bar{c}_\nu \mathbb{Z}_n^\nu$  ( $N$ : nonzero indexes in  $\bar{f}$ )

Choose  $t$  greater than any  $\nu_i$  occurring in any  $\nu \in N$ .

Let  $\alpha_1 \geq t^{n-1}, \dots, \alpha_{n-1} \geq t$ .

$$\begin{aligned} \sigma(f) &= \sum_{\nu \in N} \bar{c}_\nu (\bar{J}_1 + \bar{J}_n^{\alpha_1})^{\nu_1} \dots (\bar{J}_{n-1} + \bar{J}_n^{\alpha_{n-1}})^{\nu_{n-1}} \bar{J}_n^{\nu_n} \\ &= \sum_{\nu \in N} \bar{c}_\nu \bar{J}_n^{\alpha_1 \nu_1 + \dots + \alpha_{n-1} \nu_{n-1} + \nu_n} + g \quad (\deg_{\bar{J}_n} g < \sum_{i < n} \alpha_i \nu_i + \nu_n). \end{aligned}$$

Due to the choice  $\alpha_1, \dots, \alpha_{n-1}, \sum_{i < n} \alpha_i \nu_i + \nu_n$  are all different.

$\therefore$  their maximum  $s$  is achieved at a single  $\bar{\nu} \in N$ .

$$\therefore \sigma(f) = \bar{c}_{\bar{\nu}} \bar{J}_n^s + h, \quad \deg_{\bar{J}_n} h < s.$$

Since  $\bar{c}_{\bar{\nu}} \neq 0$ ,  $\therefore \sigma(f)$  is  $\bar{J}_n$ -dist of order  $s$ .

In general, choose a  $t$  large enough to work for all  $f_i$ .

**Thm. 8. (Weierstrass Division).** Let  $g \in T_n$  be a  $\bar{J}_n$ -distinguished of some order  $s$ . Then for any  $f \in T_n$ ,  $\exists ! q \in T_n, r \in T_n \setminus [\bar{J}_n]$  of  $\deg_{\bar{J}_n} r < s$

$$\text{s.t. } f = qg + r, \quad \text{and } \|f\| = \max(\|q\| \|g\|, \|r\|).$$

**pf. Uniqueness.**  $\|f\| = \max\{\|q\| \|g\|, \|r\|\}$ : Assume  $f = qg + r$ .

$$\text{WLOG, } \|g\| = 1. \quad \max\{\|q\| \|g\|, \|r\|\} = 1. \quad \therefore \|f\| \leq \max\{\|q\| \|g\|, \|r\|\} = 1.$$

If  $\|f\| < 1$ ,  $\Rightarrow \bar{q}g + \bar{r} = 0$ . ( $\bar{q} \neq 0$  or  $\bar{r} \neq 0$ ). which contradicts with Euclid's

division in  $k[\bar{J}_1, \dots, \bar{J}_{n-1}][\bar{J}_n]$ .  $\therefore \|f\| = 1$ . uniqueness is also clear.



Existence: denote  $g = \sum_{\nu \geq 0} g_\nu \cdot Z_n^\nu$   $g_\nu \in T_{n-1}$   $g_s \in T_{n-1}^*$  when  $\nu > s$ ,  $|g_\nu| < |g_s| = g=1$ .

Set  $\varepsilon = \max_{\nu > s} |g_\nu|$ .  $\varepsilon < 1$ . We show a weaker assertion:

(W). For any  $f \in T_n$ ,  $\exists q, r, f_1 \in T_n$ ,  $r \in T_{n-1}[Z_n]$  of  $\deg_{Z_n} r < s$  s.t.

$$f = qg + r + f_1 \quad (|q|, |r| \leq |f|, |f_1| \leq \varepsilon |f|).$$

(Let  $f_0 = f$ ,  $f_i = q_i g + r_i + f_{i+1} \Rightarrow f = (\sum q_i)g + (\sum r_i)$ .)

Pf of (W). Assume  $f = \sum_{m \geq 0} f_m$   $f_m \in T_{n-1}[Z_n]$  ( $f_m \rightarrow 0$ ). Then only need to prove (W) for  $f_m$ . WLOG assume  $f \in T_{n-1}[Z_n]$ .

$$\text{Set } g' = \sum_{i=0}^s g_i Z_n^i, \quad f = q \cdot g' + r \quad (\deg_{Z_n} r < s). \quad |f| = \max\{|q|, |r|\}.$$

$$\therefore f = qg + r + (qg' - qg) \quad |qg' - qg| \leq \varepsilon |q| \leq \varepsilon |f|. \quad \therefore |f_1| \leq \varepsilon |f|. \quad \square.$$

Cor. 9. Let  $g \in T_n$  be  $Z_n$ -dist. of order  $s$ .  $\exists!$  monic  $w \in T_{n-1}[Z_n]$  dist. of order  $s$ ,  $|w|=1$  s.t.  $g = ew$  for a  $e \in T_n^*$ . ( $w$ : Weierstrass poly.)

Pf. Existence:  $Z_n^s = qg + r$   $|Z_n^s| = 1 = \max\{|q|, |r|\}$ .  $\therefore |r| \leq 1$ . ( $\deg_{Z_n} r < s$ ).

Set  $w = Z_n^s - r$ .  $|w|=1$ , dist. of order  $s$ .

suffices to prove  $q \in T_n^*$ . Assume  $|q|=|g|=1$ .

$$\overline{w} = \overline{qg} \Rightarrow \overline{q} \in k^* \quad \therefore q \in T_n^*.$$

$\uparrow$   
deg<sub>Z<sub>n</sub></sub> = s

Uniqueness: Assume  $g = ew$ , let  $r = Z_n^s - w$   $\therefore Z_n^s = e^{-1}g + r$ .

$\Rightarrow e, r$  are unique (by Thm 8).

Cor 10.  $T_1 = k\langle Z_1 \rangle$  is an ED.

pf. Any  $g \in T_1$  is  $\mathbb{Z}_1$ -dist. of some order  $s$ . Then  $\delta$  says:  $\mathbb{Z} \rightarrow \mathbb{Z}$  is a well defined Euclidean function.

Cor 11. (Noether Normalization)

For any proper  $\mathfrak{a} \subsetneq T_n$ ,  $\exists$   $k$ -alg. mono.  $T_d \hookrightarrow T_n$  s.t.  $T_d \rightarrow T_n \rightarrow T_n/\mathfrak{a}$  is finite mono.  $d$  is uniquely determined, and is called Krull dim of  $T_n/\mathfrak{a}$ .

Pf. Choose  $g \neq 0 \in \mathfrak{a}$ . Use Lem. 7 to make  $g$   $\mathbb{Z}_n$ -dist. of some order  $s > 0$ . By Weierstrass division,  $T_n/g$  is a free  $T_{n-1}$ -module with basis  $\underbrace{1, \mathbb{Z}_n, \dots, \mathbb{Z}_n^{s-1}}$ .  $\therefore T_{n-1} \rightarrow T_n \rightarrow T_n/g$  is finite, mono.

$T_{n-1} \rightarrow T_n/g \rightarrow T_n/\mathfrak{a}$  denote  $\mathfrak{a}_1 = \ker \theta$  if  $\mathfrak{a} = 0 \vee$ .

If  $\mathfrak{a}_1 \neq 0$ , proceed with  $\mathfrak{a}_1$  and  $T_{n-1}$ . After finite steps, we get finite mono:  $T_d \rightarrow T_n/\mathfrak{a}$ .

(By prop. 17,  $\dim T_n = n$ .  $\therefore \dim T_n/\mathfrak{a} = \dim T_d = d$ ).

Cor 12. For any  $\mathfrak{m} \in \text{Max } T_n$ ,  $T_n/\mathfrak{m}$  is finite over  $K$ .

pf.  $\exists d$  s.t.  $T_d \hookrightarrow T_n/\mathfrak{m}$  is finite.  $\therefore d = 0$ .  $\therefore T_n/\mathfrak{m}$  is finite over  $K = T_0$ .

Cor 13.  $B^n(K) \rightarrow \text{Max } T_n$  is surj

$$x \rightarrow \mathfrak{m}_x = \{f \mid f(x) = 0\}.$$

pf. Clearly  $\mathfrak{m}_x \in \text{Max } T_n$ :  $T_n \xrightarrow{\theta_x} K(x_1, \dots, x_n)$   $\ker \theta_x = \mathfrak{m}_x$ .  
 $\mathbb{Z}_i \rightarrow x_i$

Surj: If  $m \in \text{Max } T_n$ , then  $T_n/m$  is finite over  $K$ .

$$T_n/m \xrightarrow{\varphi} \bar{K} \quad (T_n \xrightarrow{\varphi} T_n/m \xrightarrow{\varphi} \bar{K}). \quad \varphi(\beta_j) \stackrel{\Delta}{=} x_j \in \bar{K}.$$

$\therefore X = (x_1, \dots, x_n) \in \bar{K}^n$ . Suffices to prove  $|x_i| \leq 1$  ( $\forall i$ ).

For any  $x_i$ , let  $p(\eta) = \eta^r + c_1 \eta^{r-1} + \dots + c_r$  be its minimal poly. over  $K$ , let  $\alpha_j$  ( $j=1, \dots, n$ ) be its roots. ( $\alpha_i = x_{ij}$ ).

$\therefore |\alpha_j| = |\alpha_i|$  ( $\forall j$ ) If  $|x_i| > 1$ , then  $|c_i| \leq |\alpha_i|^i < |\alpha_i|^r = c_r$  ( $i < r$ ).

$\therefore p(\beta_j)$  is a unit (Cor 4). Contradicts with  $\varphi(p(\beta_j)) = 0$ .

Remk It's not inj. Example:  $O_K \rightarrow \text{Max } T_1$  is not inj. since we

can pick any  $t \in O_K \setminus K$ , then  $m_t = (f_t)$  ( $f_t$ : minimal poly of  $t$ ).

and other roots of  $f_t$  gives the same maximal ideal.